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from the

# Sylff Community

FEBRUARY 2014

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#### About the Tokyo Foundation

The Tokyo Foundation is an independent, not-for-profit think tank that presents concrete policy proposals based on a lucid analysis of the issues combined with a solid grasp of everyday life and the reality on the ground. We also cultivate socially engaged future leaders with a broad perspective and deep insight, both in Japan and overseas. We administer two global fellowship programs, one of which is the Ryoichi Sasakawa Young Leaders Fellowship Fund, or Sylff.

#### The Ryoichi Sasakawa Young Leaders Fellowship Fund (Sylff) Program

The program was initiated in 1987 to support students pursuing graduate studies in the humanities and social sciences. To date, endowments of \$1 million each have been presented to 69 universities and consortia in 44 countries, and over 15,000 students have received fellowships. Sylff is a collaborative initiative involving the Nippon Foundation, the endowment donor; the Tokyo Foundation, the program administrator; and the Sylff institutions providing the fellowships.

#### We Want to Hear Your "Voice"

For news about the activities of Sylff fellows and program updates, as well as communication within the Sylff community, visit the Sylff website at www.tokyofoundation.org/sylff. We are always eager to receive YOUR contributions to the site. Please contact the Tokyo Foundation at leadership@tkfd.or.jp.

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### Preface

I am pleased to present our third Voices booklet since publishing the inaugural edition in October 2011. The latest edition contains the many "voices" in the Sylff community that were published on the Sylff website during 2013. Our global community now encompasses 15,000 current and graduated Sylff fellows at 69 universities in 44 countries around the world.

Sylff aims to nurture young leaders who are capable of charting a better future for all of humankind, transcending various differences. To promote this goal, the Tokyo Foundation serves two important functions. The first is to work closely with the Sylff institutions, including through regular visits, for sound program operations and endowment management. The second is to provide support programs to current and graduated fellows to facilitate their academic advancement and leadership development.

One of the support programs is called Sylff Research Abroad, which supported the overseas research activities of 20 fellows in 2013. Articles based on such research written by a number of recipients have been compiled in this booklet.

The second program, called Sylff Leadership Initiatives, was re-launched in April 2013 and is aimed at supporting social action projects or forums undertaken at a fellow's initiative. Four SLI grants have been awarded thus far, and in this booklet, you can read about the first supported project: a leadership conference in Kenya.

I am very happy to have had an opportunity to meet with many Sylff fellows in 2013. At the Sylff Fellows Gathering in Tokyo last July, I enjoyed learning of the various activities in which the fellows were engaged. I also visited four Sylff institutions in the United States, including Tufts University, Columbia University, Princeton University, and the Juilliard School, and was updated on the fellow's latest endeavors. I was impressed with not only their talent but also their high motivation and commitment to bringing positive changes to society as future leaders.

In December 2014, the Foundation will be hosting the Sylff Administrators Meeting in Tokyo. I look forward to engaging in active dialogue with members of the global Sylff community on how best we can develop leaders to address the challenges confronting modern society.

February 2014

Masahiro Akiyama President The Tokyo Foundation Voices from the

# Sylff Community

## February 2014

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December 24, 2013

## A Lesson in Leadership

Organizing the Jadavpur Tenth Anniversary Celebration

Aritra Chakraborti and Nikhilesh Bhattacharya

Jadavpur University is located in Kolkata, the former capital of India and the religiously and ethnically diverse cultural center of the Bengal region. The city has a rich and active local tradition in the arts, including drama, art, film, theatre, and literature. That tradition was alive and well at the tenth anniversary celebration of the Sylff program at the University, which featured a documentary film, a special edition newsletter, and a lively debate.

The Jadavpur University Sylff Association organized a full day of events under the theme of "Leadership and Governance." India is still searching for a perfect model of governance, as leadership often cannot be fully exercised for want of institutional support.

For the members of the association, preparing for this big event provided valuable lessons in leadership, public communication, and collaboration. Two young fellows who played a central role in organizing the event share their thoughts below.

\* \* \*

#### Aritra Chakraborti

When I became a PhD-level Sylff fellow in July 2012, Professor Joyashree Roy, the Project Director for JU-SYLFF, told me that I would have to take up a major role in the proposed Tenth Anniversary Celebration of the Sylff Program in Jadavpur University. A few months into my tenure as a Sylff fellow, I was made the principal organizer of the JU-SYLFF Association, and I knew straightaway that I had a lot of hard work ahead of me.

My worries were mitigated by the presence of a team of very committed col-

Aritra Chakraborti Sylff fellow, 2012, Jadavpur University. Principal organizer, JU-SYLFF Association. Currently enrolled in a doctoral program in the Department of English, Jadavpur University.

Nikhilesh Bhattacharya Sylff fellow, 2013, Jadavpur University. Currently enrolled in a doctoral program in the School of Cultural Texts and Records, Jadavpur University.



Aritra Chakraborti served as master of ceremonies for the event.

leagues eager to work together, people whom I have known for a long time as students at the University. Now, when I look back at those days that were filled equally with anxiety and enthusiasm, it becomes evident how every Sylff fellow—from the senior-most fellows who joined in 2003 to the newly selected batch of 2013—did their best to make the event a success.

I still remember sending the first

e-mail, back in October 2012, asking the Sylff fellows to come for a meeting where we were to discuss how we would go about organizing the major event. The fellows responded enthusiastically by turning up in large numbers. It was the first in a series of meetings that were held during the course of the preparations.

We decided that, in order to organize an event of this magnitude, we would have to take up a lot of responsibilities, including raising funds to cover the event's expenses. Our target was to showcase the various activities and both the academic and non-academic achievements of the association and the fellows, as well as making the JU-SYLFF Program more visible within and outside the University.

We were helped immensely by our Project Director, Professor Roy, and Sylff assistants Sayanti Mitra (who left shortly before the event) and Samrat Roy (who replaced her). Suman Datta, who has been associated with the JU-SYLFF Program for a long time and remains irreplaceable, was always there whenever we needed his help and advice. The association also received generous support from members of the University administration, who cooperated with us in every way possible, thus making the celebration a truly collaborative event.

The Sylff Program requires that the fellows reach beyond their academic duties and fulfill various other roles as socially responsible leaders. One major benefit of this schooling is that it teaches the fellows the very useful skills of multitasking and rising beyond personal likes and dislikes for the benefit of a common cause. In taking up multiple duties, for instance, students of history and philosophy found the hidden designer in them; and those studying the intricacies of economics found themselves practicing the fine art of letter writing and selecting the perfect menu for lunch.

Being the principal organizer of the JU-SYLFF Association, I had to take up multiple duties as well: With Nikhilesh Bhattacharya and Sreerupa Sengupta, I co-edited the tenth anniversary edition of our annual newsletter, *Fellows*, and with

the latter, I co-directed a short documentary titled, *JU-SYLFF: The Journey So Far*, detailing the decade-long journey of the Sylff Program at Jadavpur University. The documentary, which was shown on the day of the celebration, was conceptualized as an exciting and unconventional way of preserving the story of the wonderful partnership that the University and the Sylff Program have formed (visit http://www.youtube.com/watch?v=h11N2NkmUQM&feature=youtu.be to view the video). We tried our best to cover the entire history of the program—from the award ceremony at the Rajbhavan (Governor's House) in 2003, through the formation of the JU-SYLFF Association and its various social and academic activities, to the present state of the program and what the fellows have gained by being a part of this community.

Former Vice-Chancellor of JU, Professor Ashoke Nath Basu, told us in the interview that was used as the introductory speech for the documentary how the introduction of the Sylff Program has helped the University to carry out cutting-edge research in interdisciplinary areas. The then Vice-Chancellor of the University, Professor Souvik Bhattacharyya, told us in his interview how he saw this wonderful partnership blossoming



JU fellows and the members of the Tokyo Foundation.

into a very profitable association in near future. By telling the story of our long and highly valued ties with philanthropic organizations such as the Premananda Memorial Leprosy Mission Hospital, we tried to show how the JU-SYLFF Association is trying its best to reach beyond the ivory tower and take part in social action programs. Duke Ghosh, one of the earliest Sylff fellows who did the voice-over for the documentary, re-collected the occasion when the University had the honour of hosting the South-Asia Pacific Regional Forum in 2007.

Despite our best efforts, though, we did feel the pressure during the final days of the preparations: There were sleepless nights as we tried very hard to tie up all the loose ends. In the end, however, we found that everything can come together when likeminded and determined people stick together. We received a lot of help from people who had little to do, directly, with the Sylff community previously. This, for me, was the highlight of the event, as it showed the bonding that the Sylff network has created within the University community. The Jadavpur University Press lent its expertise in designing the special edition of the annual newsletter.

Researchers from the School of Women's Studies gave us technical advice on creating the documentary. Ramprasad Gain, a former student of film studies at Jadavpur University and now an editor in the Bengali film industry, spent sleepless nights with us during the last few days editing and making last minute changes to the documentary.

There were moments of frustration and fear. During the last days of the preparations, the project director was travelling and there were times when we did feel that we had bitten off more than we could chew. But we also understood very quickly that these were part and parcel of preparing for any event of this magnitude. The key was not to lose focus: We had to be perfect in everything, since we were to host a lot of very important people on that day. In these moments of anxiety, the senior fellows took charge and provided guidance for the younger ones. Now, when I look back at the day of the event when everything proceeded perfectly, I think that those days of endless pressure were worth going through.

#### Nikhilesh Bhattacharya

My stint as a JU-SYLFF fellow began in a whirr of activity. When I was selected for the fellowship program I had no idea I was going to be thrown in at the deep end. As it happened, I joined in August 2013, less than two months before the tenth anniversary celebration of the Sylff Program in Jadavpur University. By then, preparations for the big day had already entered the final phase.

JU-SYLFF fellows had the responsibility of planning, organizing, and partly funding the day-long event on September 24, 2013. It meant a lot of work for all of us. And we had to balance that work with our academic responsibilities because the celebration was being held mid-term.

The first rule was good teamwork. Without it we could never hope to execute the diverse tasks facing us. This was, in a sense, a refreshing departure from academic research, which at times can be a rather lonely pursuit. Hours spent reading a book in the far corner of a library, poring over manuscripts in a desolate archive, or writing a thesis in a closed room ignoring the revelry outside bring their own reward. But team dynamics, too, is a fascinating subject: How the chain of command functions; how team members react to responsibilities; and how friendships are forged and occasional differences resolved (or not!).

On this occasion, the team's task was made difficult by the fact that Joyashree Roy, the JU-SYLFF Project Director, was travelling extensively in the lead up to the celebration. That meant we were effectively left without a unanimously accepted leader for much of the time. The academic community of Jadavpur University is

fiercely egalitarian and establishing a command chain with a temporary head was always going to be tricky. The core team was also small because most of the former JU-SYLFF fellows no longer live in Kolkata but are based in different places across the world.

This is where the former fellows who were still in the city played a crucial role. While all fellows, past and present, contributed to the program fund, Sreerupa Sengupta, Duke Ghosh, Rimple Mehta, Anindita Roy, Ritajyoti Bandyopadhyay, Deeptanil Ray, Nilanjan Pande, Sebanti Chatterjee, Abhishek Basu, and Payoshni Mitra took time off from their busy schedules to take charge of the preparations. We also got help from the administrators, teachers, and members of the university's non-teaching staff whenever we asked.

I had the cushy job of coordinating among the fellows, which allowed me to order them around, lend a helping shoulder when someone was down, and, once or twice, order boxes of pizzas and pass them off as working lunch. My other responsibility was to put together the special edition of the JU-SYLFF Association's newsletter, *Fellows*.

On the day of the event I could not follow the proceedings in the first session because most of my morning was spent making frequent trips backstage with in-

structions and ensuring everyone connected with the program had lunch. In between I was briefly on stage with Mr. Yohei Sasakawa, chairman of the Nippon Foundation, and the editorial team of *Fellows* for the launch of the special edition. The warm smile on Mr. Sasakawa's face reassured me that things were going well.

The last session saw a lively debate. The motion of the house was



Nikhilesh Bhattacharya, center, and Yohei Sasakawa, the chairman of the Nippon Foundation.

"Leadership is more important than governance," and each debater could choose whether to speak for or against it. We settled on the subject because it is extremely relevant in a developing country such as India, where the search for a perfect model of governance is still on, and leadership often cannot fulfill its potential for want of institutional support. Two factors were kept in mind in choosing the participants: moderator Sugata Marjit and debaters Prasad Ranjan Roy, Supriya Chaudhuri, Anup Sinha, and Anchita Ghatak. One was that all be leaders in their respective fields, ranging from the Indian administrative service, academics, business administration. and women's rights. And the other was that they be involved in

governance in one way or the other. Some have been associated, directly or indirectly, with the JU-SYLFF Program for a long time. The debate taught us that good governance must lay the foundation for leadership to flourish.

What else did I learn from the experience of being part of a team tasked with planning and organizing an event of such scale? I learnt a new skill: I can now work with the design software used to make the layout of the newsletter. I learnt to keep calm, or at least appear so, when things were seemingly going haywire. And I learnt that the job is not done until the last payment has been made and accounts settled, which can be many days after the event is over.



"FELLOWS"- Newsletter of the Jadavpur University Sylff Association.

More importantly, I learnt there is nothing more fun than taking collective ownership of an event and being able to stage it without a hitch. I couldn't have had a better initiation into the larger Sylff family spread across the world.

Read the Sylff News article on the tenth anniversary celebration of the Sylff program at the university on page 83. August 14, 2013

## **Bulgaria and Japan**

From the Cold War to the Twenty-first Century

#### **Evgeny Kandilarov**

The following article is based on Bulgaria and Japan: From the Cold War to the Twenty-first Century, an exhaustively researched 2009 book by Evgeny Kandilarov—a Sylff fellow at Sofia University "St. Kliment Ohridski," who used his fellowship to conduct research at Meiji University in Japan in 2005. The Tokyo Foundation asked the author, who is now an assistant professor at his alma mater, to summarize his findings, which have revealed intriguing patterns in the history of bilateral ties and international relations over the past several decades.

\* \* \*

his article aims to give a brief overview of the political, economic, and cultural relations between Bulgaria and Japan during the Cold War and the subsequent period of Bulgaria's transition to democracy and a market economy.

Exhaustive research on the bilateral relationship between Bulgaria and Japan have revealed specific reasons, factors, and causes that led to fairly intense economic, scientific, technological, educational, and cultural exchange between the two countries during the Cold War. Furthermore, the study raises some important questions, perhaps the most intriguing one being: Why did the relationship rapidly lose its dynamics during the transition period, and what might be the reasons for this?

The study also poses a series of questions concerning how bilateral relations influenced the economic development of Bulgaria during the 1960s and 1980s, throwing light on the many economic decisions made by the Bulgarian government that were influenced by the Japanese economic model.

*Evgeny Kandilarov* Sylff fellow, 2004, Sofia University "St. Kliment Ohridski." Currently an academic coordinator and administrator of the university's graduate program in the history and contemporary development of East Asian countries.

#### **Five Distinct Stages of the Relationship**

The analysis of Bulgaria-Japan relations can be divided into two major parts. The chronological framework of the first part is defined by the date of the resumption of diplomatic relations between Bulgaria and Japan in 1959 and the end of state socialism in Bulgaria in 1989, coinciding with the end of the Cold War. This time-frame presents a fully complete period with its own logic and characteristics, following which Bulgaria's international relations and internal policy underwent a total transformation at the beginning of the 1990s.

The second part of the analysis covers the period of the Bulgarian transition from state socialism to a parliamentary democracy and market economy. This relatively long period in the development of the country highlighted the very different circumstances the two countries faced and differences in their character.

The inner boundaries of the study are defined by two mutually related principles. The first is the spirit of international relations that directly influenced the specifics of the bilateral relationship, and the second is the domestic economic development of Bulgaria, a country that played an active role in the dynamics of the relationship. In this way, the 1960s, 1970s, 1980s, 1990s (through 2007, when Bulgaria joined the EU), and the years since 2007 represent five distinct stages in



The book Bulgaria and Japan: From the Cold War to the Twenty-first Century is almost entirely based on unpublished documents from the diplomatic archives at the Bulgarian Ministry of Foreign Affairs. In order to clarify concrete political decisions, many documents from the Political Bureau of the Central Committee of the Bulgarian Communist Party, Comecon, and State Committee for Culture were used. These documents are available at the Central State Archives (CSA) of the Republic of Bulgaria. For additional information, memoirs of eminent Bulgarian political figures and diplomats who took part in the researched events were also used.

the relations between Bulgaria and Japan.

The first stage began with the resumption of diplomatic relations in 1959. This was more a consequence of the general change in international relations in the mid-1950s than a result of deliberate foreign policy. After the easing of Cold War tensions between the two military and political blocs and the restart of dialogue, the whole Eastern bloc began normalizing its relations with the main ideological rival, the United States, as well as with its most loyal satellite in the Asia-Pacific region-Japan. From another point of view Japanese diplomatic activity toward Eastern Europe, including Bulgaria, was motivated mostly by the commercial and economic interests of Japanese corporations looking to extend their markets.

This period in Bulgarian-Japanese relations in the 1960s was characterized by mutual study and search for the right approach, the setting up of a legislative base, and the formulation of main priorities, aims, and interests.

Analyses of documents from the Bulgarian state archives show that Bulgaria was looking for a comprehensive development of the relationship, while Japan placed priority on economic ties and on technology and scientific transfer.

#### **Budding Commercial Ties**

One of the most important industries for which the Bulgarian government asked for support from Japan was electronics, which was developing very dynamically in

Japan. In the mid-1960s Bulgaria signed a contract with one of Japan's biggest electronics companies, Fujitsu Ltd. According to the contract, Bulgaria bought a license for the production of electronic devices, which were one of the first such devices produced by Bulgaria and sold on the Comecon market. The contract also included an opportunity for Bulgarian engineers to hone their expertise in Japan.

In the 1960s the first joint ventures between Bulgaria and Japan were established. In 1967 the Bulgarian state company Balkancar and the Jap-



Bulgarian prime minister Todor Zhivkov and Japanese Prime Minister Eisaku Sato, 1970, Japan.

anese company Tokyo Boeki create a joint venture called Balist Kabushiki Kaisha. Another joint venture that was established was called Nichibu Ltd. In 1971 these two companies merged into a new joint venture, Nichibu Balist, engaged in trading all kinds of metals and metal constructions, forklifts and hoists and spare parts for factories, ships (second hand), marine equipment, spare parts, electronics, pharmaceuticals, and chemical products.

In 1970 Bulgaria and Japan signed an Agreement on Commerce and Navigation, which was the first of its kind signed by the Bulgarian government with a non-socialist country. According to the agreement, the two countries granted each other most-favored-nation treatment in all matters relating to trade and in the treatment of individuals and legal entities in their respective territories.

At the end of this stage of Bulgarian-Japanese bilateral relations, by participating in the Expo '70 international exhibition, Bulgaria already had a clear idea of

the "Japanese economic miracle" and how it could be applied to Bulgaria's economic growth.

The Bulgarian government led by communist ruler Todor Zhivkov were very much impressed and influenced by Japan's industrial, scientific, and technological policy, which led to the so called Japanese miracle. That is why the economic reforms and strategies adopted in Bulgaria over the following few years, although conducted in a completely different social and economic environment, were influenced to some extent by the Japanese model, especially in the field of science and technological policy.

#### Peak of Political and Economic Activity

The second stage in bilateral relations in the 1970s marked the peak of political and economic activity between the two countries. The goals set during the previous period were pursued and achieved slowly and steadily. The legislative base was broadened, and the number of influential Japanese partners increased. The international status quo in East-West relations, marked by the Helsinki process, presented the possibility for Bulgaria and Japan to enjoy a real "golden decade" in their relations.

In 1972 the Japan-Bulgaria Economic Committee for the development of trade, economic, and scientific and technological ties between the two countries was established in Tokyo. Committee participants included a number of large Japanese manufacturers, financial institutions, and trading companies. The head of the Committee was Nippon Seiko (NSK) President Hiroki Imazato. The same year in Sofia, Bulgaria established the Bulgaria-Japan Committee for Economic, Science, and Technical Cooperation, headed by Minister of Science, Technologies, and Higher Education Nacho Papazov.

In the mid-1970s the Bulgarian government undertook some legislative changes regarding the rules for foreign company representation in Bulgaria. These changes were influenced mainly by the attempt by the Bulgarian government to encourage the further development of Bulgarian-Japanese economic relations. After the legislative changes Japanese companies received the right to open their own commercial representative offices in Bulgaria, and in just a few years 10 Japanese companies opened offices: Mitsubishi, Mitsui, Sumitomo, C. Itoh, Fujitsu, Tokyo Maruichi Shoji, Nichibu Balist, Marubeni, Nissho Iwai, and Toyo Menka Kaisha. In 1977 the Japan External Trade Organization (JETRO) also opened an office, greatly contributing to the promotion of the trade and economic relations between Bulgaria and Japan.

#### **Historic Summit Meeting**

A political expression of the peak of Bulgarian-Japanese relations during the 1970s

was the first official summit visit in the history of bilateral diplomatic relations—the visit by Bulgarian state leader Todor Zhivkov to Japan in March 1978 for a meeting with Japanese Prime Minister Takeo Fukuda.

During the visit, the two sides agreed to establish a Joint Intergovernmental Commission for Economic Cooperation, which has held working sessions every year, engaging both governments to further promote and extend the bilateral economic relationship.

Following the state visit by Todor Zhivkov, the Bulgarian government created a very detailed strategic program for the development of Bulgarian-Japanese relations for the decade up to 1990. The main focus of the program was the following idea: "The strategic direction in the economic relations between Bulgaria and Japan consists in the rational use and implementation of modern and highly effective Japanese technologies, equipment and production experience for the promotion of the quality and efficiency of the Bulgarian economy."

Another key point was that the Bulgarian government would focus its efforts on strengthening cooperation with leading Japanese companies in such fields as electronics and microelectronics, automation and robotics, heavy industries, chemicals, electronics, and engineering.

In response to the Bulgarian state visit in 1978, the next year, in October 1979, Bulgaria was visited by Crown Prince Akihito and Crown Princess Michiko as the official representatives of Emperor Hirohito.



Bulgarian prime minister Todor Zhivkov and Japanese Prime Minister Takeo Fukuda, 1978, Japan.



Bulgarian state leader Todor Zhivkov and Japanese Emperor Hirohito, 1978, Japan.



Crown Prince Akihito during his official state visit to Bulgaria, October 1979.

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#### 1980s: Broadening Spheres of Cooperation

During the third period of Bulgarian-Japanese relations, the momentum of the preceding stages still kept the relationship stable and growing. The sphere of cooperation and mutual interest widened, and the Bulgarian government relied more on the Japanese support and the advantages offered by the Japanese economic model.

At the beginning of the 1980s the Bulgarian government undertook another step toward the liberalization of the Bulgarian economy. It gave an opportunity for Western companies to invest in Bulgaria by concluding contracts for industrial cooperation and creating associations. These changes in the Bulgarian economy caused great interest among Japanese economic circles, and within the next few years six Bulgarian-Japanese joint companies were created. The names and activities of the joint companies were as follows:

- Fanuc-Mashinex with the participation of Japanese company Fanuc Co: Service and production in the fields of electronics, automation, and engineering.
- Atlas Engineering with the participation of Japanese companies Mitsui, C. Itoh, Toshiba, and Kobe Steel: Design, supply, and implementation of projects in Bulgaria and third countries in the fields of mechanical engineering, chemicals, and metallurgy.
- Sofia-Mitsukoshi with the participation of Japanese companies Mitsukoshi and Tokyo Maruichi Shoji: Production and trade in the field of light industry as well as the reconstruction of department stores.
- Tobu-M.X.: Manufacture and sale of machinery for magnetic abrasive treatment of complex-shaped parts. Production was based on Bulgarian technology, and the products were sold in Japan and in third countries.
- Medicom Systems with the participation of Japanese company Tokyo Maruichi Shoji: Research, production, and sale of equipment and software for the medical and education markets.
- Farmahim-Japan with the participation of Japanese company Marubeni: Collaboration in the pharmaceutical field.

#### 1990s: Transformation of the Relationship

The subsequent crisis in East-West relations in the 1980s, the growing economic crisis in the Communist bloc, and changes in the political leadership in Moscow brought about the end of the Cold War and the beginning of a new era in international relations. During the 1990s, these new factors completely transformed the relationship between Bulgaria and Japan.

In the next period, during which Bulgaria began a long and arduous transition to a democratic political system and functioning market economy, an abrupt switch came about in the direction of Bulgarian foreign policy. The governing parties during this period made every effort to incorporate Bulgaria into the Euro-Atlantic military and economic structures, namely, the North Atlantic Treaty Organization and the European Union.

This required a great deal of effort to transform the political and economic systems. The focusing of national energy on these social transformations created a totally different environment for Bulgaria-Japan relations. Bulgaria became a developing country and was placed in an unequal position in terms of the international hierarchy. For a long time, relations between the two countries consisted largely of Japanese disbursements of official development assistance (ODA).

Despite the dialogue between Bulgaria and Japan from 1959 to 1989, the 1990s was a period of steady decline and stagnation in the bilateral relationship, being reduced, to a large extent, to one between donor and recipient.

All this led to a paradoxical situation: economic relations between Bulgaria and Japan were much closer when the countries were politically and ideologically far apart than during the period after 1989, when they stood in the same ideological framework. The underlying reasons for this are related to the question of what were the driving forces of the relationship during the Cold War.

#### Nurturing a New Partnership

A detailed study of the relationship between 1959 and 1989 shows that for the most part the initiative came mainly from the Bulgarian side, which showed keen interest in and reaped benefits from the relationship. Bulgaria was driven by commercial and economic interests and the need for scientific and technological cooperation. Moreover, Japan was both a good model and a suitable partner for Bulgaria. Japan saw in Bulgaria and other socialist countries an opportunity to expand its export markets and to import cheaper food commodities and raw materials.

At the same time, ties with a highly developed country like Japan provided an opportunity for the Bulgarian government to identify the defects and shortcomings of the closed, centralized, planned economy. This underlined a persistent set of problems, the major one being the lack of competitiveness of Bulgarian products stemming from poor quality, low labor efficiency, poor level of technology, unstable stock exchange, limitations in the number and variety of goods, mediocre design, and the failure to adapt to a highly dynamic and competitive market environment.

As late as January 1, 2007, both countries took a step to set up a new partner-

ship framework on equal terms. After Bulgaria joined the EU, relations between the two countries became almost entirely dependent on the geopolitical, economic, and to some extent cultural interests of the respective counties in the region. From this perspective, the starting points of the relations between Bulgaria and Japan at the beginning of the twenty-first century did not seem very strong. This could be clearly seen in the empirical data on Japanese investment in Bulgaria, financial transactions, the traffic of tourists, cultural presence, and other areas, as well as in the peripheral position of Bulgaria in Japan's foreign strategy toward the region, underlined by then Japanese foreign minister Taro Aso's 2006 concept called the Arc of Freedom and Prosperity.

Unfortunately, even almost seven years after Bulgaria joined the EU there has not been any significant change in Bulgarian-Japanese relations, which remain very much below their optimal potential. The reasons for this can be found both in the lack of political and economic stability in Bulgaria as well as in the continuing economic instability of Japan over the last 20 years. Whether Japan and Bulgaria will once again see a merging of interests and revive a mutually beneficial relationship is a matter for another analysis. The most important thing is that there is already a very good base for a fruitful relationship, even though it was set during the Cold War, and it should be used as a starting point in the attempts by the Bulgarian government and its Japanese partners to find a more efficient and beneficial approach in developing bilateral relations.

# A Friendly Midsummer Get-Together in Tokyo

The Tokyo Foundation

round 20 Sylff fellows and steering committee members attended the first Sylff Fellows Gathering, a relaxed and informative midsummer evening get-together held on Wednesday, July 10, at the Tokyo Foundation.

The gathering was organized to update fellows and SSC members of recently launched Sylff support programs and to give an overview of the Tokyo Foundation, including its policy research activities, as well as to provide opportunities for visitors to ask questions, offer comments, share their own news, and, of course, to get to know one another better.

The first half of the gettogether featured presentations by the Foundation and two Sylff fellows: Jonathan Shalfi, a master's degree student at the School of International Relations and Pacific Studies, UC San Diego; and Takehiro Kurosaki, who received a doctorate in anthropology from Waseda University and is now the deputy director of the Pacific Islands Center.



All participants of the Sylff Fellows Gathering.

Special guests included Dr. Vladimir Bumbasirevic and Dr. Ivanka Popovic, the



Masahiro Akiyama

rector and vice-rector of the University of Belgrade—Serbia's largest and oldest university and Professor Edgar Porter, a member of the Sylff steering committee at the Ritsumeikan Asia Pacific University in Beppu, Japan.

Welcoming remarks were made by Tokyo Foundation President Masahiro Akiyama, who expressed his hope that the gathering would be the first of many more productive forums for the

exchange of ideas, and by Tatsuya Tanami, Executive Director of the Nippon Foundation, the donor of the Sylff endowments.

Tanami recalled that Sylff was the brainchild of the late Ryoichi Sasakawa, who, toward the last stages of his life, realized his dream of establishing a fellowship program that would produce leaders to bring positive social change in countries around the world.

The initiative has been highly successful, Tanami noted, providing opportunities for research and social engagement for around 15,000 graduate-level students in 44 countries. He added that Sylff is one of largest of around 25 human resources development programs involving the Nippon Foundation which may total more than 30,000 people, and expressed his hope that these people could one



Tatsuya Tanami

day be integrated into a single network to facilitate communication and understanding.

#### One of Japan's Leading Think Tanks

Tokyo Foundation Director for Public Communications Akiko Imai then gave an



Akiko Imai

overview of the Foundation's activities, emphasizing the unique combination of policy research and the nurturing of change-makers that makes the foundation one of Japan's leading think tanks.

"We're financially independent of any political or commercial interests, and this allows us to set our own goals," she noted. "Our central location makes it easy for members of the National Diet and senior government officials to

join us for both small-group workshops and public forums."

Policy research at the foundation covers a broad range of areas, ranging from foreign, security, and trade policy and energy resources to health and nursing care, tax reform, and corporate social responsibility. "These are all interrelated," Imai said. "Healthcare issues, for example, are closely linked to tax and social security, and could be significantly affected by the Trans-Pacific Partnership. So our research fellows work closely together in a cross-disciplinary way to ensure that our policy proposals are relevant from a cross-issue perspective, and we actively communicate

those proposals through our network of leading policymakers, journalists, and scholars, and global think tanks."

#### **Cultivating Leaders of Tomorrow**

A summary of the Sylff program and updates on additional support available for fellows from the Tokyo Foundation were provided by Director for Leadership Development Takashi Suzuki.

Sylff is one of four major leadership developments programs in which the Tokyo Foundation is engaged. The aims of Sylff, he said, are to cultivate leaders of tomorrow who will contribute to the



Takashi Suzuki

common good of humankind while transcending national, ethnic, and other boundaries and respecting the diversity of cultures and values; and to support the education of outstanding students pursuing graduate-level study in the social sciences and humanities.

Suzuki introduced two fellows who have gone on to become outstanding leaders in their respective communities following graduation: Dejan Šoškić, a graduate of the University of Belgrade who was appointed governor of the National Bank of Serbia in July 2010; and Loukas Spanos, a Sylff fellow at the University of Athens who has played a key role in the reconstruction of the Greek economy as the director of the Minister's Office at the Greek Ministry of Labor and Social Security.

Noting that one of the Tokyo Foundation's main tasks regarding the Sylff program is to support the activities of fellowship recipients, Suzuki provided an outline of Sylff Research Abroad (SRA), which supports fellows' research in a foreign country for their doctoral dissertations, and Sylff Leadership Initiatives (SLI), aimed at encouraging Sylff fellows to address important issues through social action initiatives or workshops to bring about positive change. "In addition," Suzuki said, "we're planning to launch global forums for Sylff fellows on an annual basis starting from fiscal 2015."

#### Integrating Renewable Energy into the Grid

Two fellows then made brief presentations on their recent activities. The first was Jonathan Shalfi, a master's degree student at IR/PS at US San Diego, who is conducting research this summer at the Institute of Energy Economics, Japan, on the challenges of integrating renewable energy into the electric grid.

"It's one thing to place electric panels on rooftops and another to actually integrate solar-generated power into the grid," Shalfi emphasized. "This is what I'm



Jonathan Shalfi

studying. There's no question renewable energy is becoming a more important part of the energy mix, but the issue of integrating it into the grid hasn't been studied much yet."

He noted that Japan faces one of the toughest integration challenges, since there are 10 largely independent, investor-owned electric utilities in the country with very little transmission capacity between them. "Transmission presents great difficulties. Hokkaido has the

most wind potential, for instance, but it's isolated from the big population centers. The situation for solar is quite similar, with most of the potential being located in rural areas. Another challenge is stability, since there must be a way to meet power deficits when the sun suddenly goes away or the wind dies down."

Looking at Japan, where the production of renewable energy has risen sharply with the introduction of the feed-in-tariff system during the administration of the Democratic Party of Japan, is very important as a test case, Shalfi added, for there are many lessons to be learned by other countries.

#### **Close Ties with Pacific Island Countries**

The second fellow to make a presentation was Takehiro Kurosaki, deputy director of the Pacific Islands Center who was a fellowship recipient in 2007 while studying cultural anthropology at Waseda University.

He recalled that the fellowship enabled him to conduct fieldwork in the Marshall Islands and neighboring countries, interviewing highlevel bureaucrats, business leaders, and politi-



Takehiro Kurosaki

cians—including the president—about the cultures and political systems of the region.

The fellowship, Kurosaki said, opened doors to his subsequent academic and professional career and to his current position at the PIC, an international organization established in October 1996 by the Japanese government and the Pacific Islands Forum—a consortium of 16 independent Pacific countries, including Aus-

tralia and New Zealand—to promote the sustainable economic development of the Pacific region, encourage trade and investment from Japan, and bolster tourism.

"Japan has very close historical ties to these countries," Kurosaki noted. "The president of Micronesia, Emanuel Mori, is the great-grandson of a Japanese samurai from Kochi Prefecture who married the daughter of a traditional chieftain. The Moris are a large clan numbering around 4,000 people in Micronesia, and they have a big impact on the country's economy and politics."

Diplomatically, the Pacific islands are important supporters of Japan in international forums like the United Nations, and Japan depends on the region economically for around 80% of its imports of tuna and bonito and as a sea lane for the transport of mineral and energy resources. Japan will also be a major market for the liquefied natural gas produced in Papua New Guinea beginning early next year.

"Japan is one of the top donors of development assistance to the region," said Kurosaki. "The support has been used to build these young countries' socioeconomic infrastructure and address challenges posed by global warming and natural disasters, such as typhoons, tsunamis, and drought."

PIC also organizes exhibitions and stage shows in Tokyo to enhance the visibility of the Pacific countries in cooperation with the Japanese government and the Pacific Islands Forum. "The Pacific countries regard Japan as a friendly and important partner, while Japan attaches great value to them in the global community. I think we need to expand our ties, not just among governments but also in the private and nongovernment sectors."

Following the presentations, participating Sylff fellows and administrators had



an opportunity to talk with Tokyo Foundation program officers and research fellows as well as with one another at the reception, sharing ideas and deepening friendships over food and drinks.

## **Lessons That Will Last a Lifetime**

#### Panju Kim

Learned about the Michinoku Wind Orchestra project (See http://www .tokyofoundation.org/en/topics/together-in-tohoku-2012) in spring 2012. I had a wonderful time on an earlier visit to Japan, so I was eager to travel there again. I also wanted to do something for the areas decimated by the March 2011 disaster. There was a limit to what I could do on my own, but I felt I could be of some help by participating in this project.

Damage from the March 2011 earthquake and tsunami was truly shocking. I couldn't sit still while watching the images of the destruction broadcast on televi-



Workshop at Tohoku High School.

sion in the days following the disaster. While preparing to travel to Japan for the workshops in Tohoku and the concert at Suntory Hall in mid-August 2012, I was at once excited about being able to perform with other outstanding musicians who had volunteered to participate in the project and apprehensive about how I should communicate with the students who had gone through such a tragedy.

I arrived at Sendai Airport on Au-

gust 12. This was the same airport that I had seen being engulfed by the tsunami, with its runway being strewn with planes, cars, and even homes. As far as I could tell, though, the airport seemed fully recovered from that horrifying event a year and a half ago.

The next morning, the other Sylff fellows and I departed for Tohoku High

Panju Kim Sylff fellow, 2009, University of Music and Performing Arts Vienna. Has performed with the Asia Philharmonic Orchestra Academy, Vienna Youth Philharmonic, Webern Symphony Orchestra of the University of Music and Performing Arts Vienna, and the Academy of Vienna Philharmonic Orchestra.

School by bus, and there I met the Tohoku students I would be teaching. My first impression was that they were very shy and nervous. Other Sylff fellows felt the same way. We wondered that perhaps the traumatic events of March 2011 had caused them to become withdrawn.

I later learned, though, that the students were so reserved because they didn't know each other either. They had come from various schools throughout Miyagi Prefecture, and many were meeting fellow members for the first time. As we practiced our parts, they grew more relaxed and cheerful, and I realized that my initial concerns about emotional wounds were ungrounded. Despite their youthful innocence, they also displayed the kind of maturity and inner strength that no doubt were an outgrowth of the hardship they had gone through.

Their resilience also melted away any apprehensions I had harbored prior to my visit. The workshops with the students, held over three days in Sendai, were a wonderful opportunity to make many young friends through the medium of music.

#### No Borders to Natural Disasters

Before moving to Tokyo for the concert at Suntory Hall, I and the other Sylff fellows visited Ishinomaki, which suffered heavy tsunami damage, and performed a mini-concert. I was appalled to see the destruction firsthand on the tour of the city. We visited a music store whose owner was repairing the pianos the tsunami washed away. While they can probably never be fully restored, the pianos were being painstakingly repaired, the owner said, so they could be used in concerts as a tribute to all those who lost their lives in the disaster.

The Ishinomaki concert was organized as an event to offer hope and encouragement to local residents, but we wound up being on the receiving end, moved and uplifted by their indomitable spirit and their will to live. I have only the highest respect for them.

It was a very hectic week, and I was quite tired by the time we reached Suntory Hall, but I thoroughly enjoyed all the rehearsals and the concert itself.



The Ishinomaki concert.

At the reception following the performance, all the performers overcame the language barrier and our very different backgrounds and shared a strong sense of accomplishment and fulfillment.

There, I met a local student musician who introduced herself as a Zainichi—an ethnic Korean born and raised in Japan. While I was happy to meet a fellow Korean in Japan, at the same time I realized that natural disasters have no borders and that anyone can become a victim.

The students in Tohoku had not lost their dreams and aspirations despite the difficult circumstances and seemed to truly enjoy the chance to perform. Seeing how dedicated they were, I couldn't help but feel that music was a factor behind their bright outlook on life.

It hit upon me, then, that music can be very effective way of helping people maintain a healthy frame of mind. I also realized that music is not just something that is performed to be heard. The week I spent with the students working toward the goal of a Suntory Hall concert taught me that it is also a medium of communication. These are insights that will stay with me throughout my musical career. I also resolved to actively participate in any similar projects in the future.

The Michinoku workshops and concerts turned out to be a very valuable experience for me. I am very grateful to the Tokyo Foundation for giving me this opportunity, and I would also like to thank the teachers at the Tohoku middle and high schools, the other musicians who donated their time and energy for this project, the students at the Senzoku Gakuen College of Music, and most of all the student performers from the Tohoku area who traveled all the way to Tokyo and performed so admirably at Suntory Hall. February 21, 2013

## A Prescription for Halting Deflation

Yale Professor Urges Bolder Actions from the BOJ

The Tokyo Foundation

Koichi Hamada, the Tuntex Professor Emeritus of Economics at Yale University and the mastermind behind Prime Minister Shinzo Abe's policy for economic revitalization—dubbed "Abenomics"—visited the Tokyo Foundation recently to share his thoughts with research fellows.

Hamada has been at the center of Japanese media attention for strongly endorsing Abe's antideflation strategy. The professor's remarks were widely quoted by the Liberal Democratic Party leader during the campaign for the December 16, 2012, House of Representatives election, which the LDP won by a landslide.

Hamada's remarks significantly boosted the LDP's standing among the public, many of whom are struggling to make ends meet. He advocates a bold quantitative easing policy to halt deflation and reverse the steep appreciation of the yen. Following the election victory, Hamada was appointed by the prime minister to serve as a special advisor to the cabinet.

\* \* \*

Joined by Tokyo Foundation Senior Fellows Shigeki Morinobu and Yutaka Harada—experts on the economy and fiscal policy—and other Foundation research fellows at an informal Tokyo Foundation meeting on December 14, Koichi Hamada asserted it was high time for the Bank of Japan to overturn its cautious monetary policy. "Real or structural problems in the Japanese economy, like higher oil prices that have little to do with the currency system, can't be addressed with monetary policy," Hamada noted. "However, since deflation and the yen's steep appreciation are issues related to the domestic and foreign value of money, they should be dealt with policies that directly address currency values."

Hamada believes, though, that Japan's monetary authorities have been trying to treat the symptoms with the wrong medicine for the past 15 years. "It's like trying to cure a stomach ailment with drugs for a heart condition."

The Bank of Japan contends that its zero-interest-rate policy already furnishes enough funds to the market and that any additional quantitative easing will not

lead to increased lending. "All you have to do is look at the Federal Reserve's purchases of mortgage-backed securities in the United States to realize that such argu-



Professor Hamada was a key Sylff steering committee member when the Sylff program was established at Yale in 1989, playing an instrumental role in building the program at the university during the crucial early period.

ments don't hold water," Hamada contended. "In Japan, the BOJ can easily purchase CPs, EFTs, REITs, and foreign currency denominated bonds."

Just as expectations of deflation can in itself have a negative impact on the national psyche, "the belief that deflation is going to be overcome will have a positive effect," he added. Indeed, the yen has depreciated by more than 10% since November, hitting a twoand-a-half-year low of around 91 per dollar in late January.

"Monetary policy is something that must be applied when the market needs it most," Hamada emphasized. "It's common knowledge in economics that monetary policy is more effective than fiscal policy under flexible rates. A bill was passed last year to raise Japan's consumption tax to 8% by April 2014 and to 10% by October 2015. "Raising taxes first and then relaxing monetary policy is precisely what you

shouldn't do," Hamada warned. "You need a recovery from deflation first, and then you can use a tax hike to control it, if necessary. And the consumption tax should be the last thing you raise. A much better idea would be an environment tax," he said, which could encourage innovations in eco-friendly technologies.

#### Is the Yen Really Too Strong?

While admitting that deflation can be mitigated with monetary tools, Tokyo Foundation Senior Fellow and Chuo University Law School Professor Shigeki Morinobu cautioned that real-world trends must also be taken into consideration, such as the end of the Cold War that opened the floodgates to cheaper labor in Eastern Europe and demographic changes toward an aging society in Japan. "Inflation targeting can be effective," he said, "but there remains the question of whether it can be stopped once the target is reached, say, at around 2 percent." He also pointed to the nega-



Shigeki Morinobu

tive consequences of having to make higher interest payments for one's debt once inflation kicks in.

Morinobu also questioned the common assumption that the yen is too strong against the dollar. "In terms of purchasing power, comparing the prices of fast food in Japan and the United States, for instance," he said, "I don't think 80 yen is intolerably high. In fact, companies claiming the yen is too strong might simply be trying to cover up for the shortcomings in their own projections."

Senior Fellow and Waseda University Professor Yutaka Harada took issue with this view, pointing out that just before the global financial crisis of the late 2000s, the yen was trading at around 120 yen per dollar. "When it steadily climbed to around 80 yen," Harada said, "many Japanese businesses were forced to lay work-

ers off or halt production of items that no longer paid at that exchange rate. Curtailing production," he emphasized, "means fewer jobs." Many companies have been able to survive as a result of these adjustments, but the ranks of the unemployed have swelled, and promising R&D projects have been abandoned. "Many of these technologies were picked up by companies in South Korea and elsewhere," Harada noted, further compounding the woes of Japanese manufacturers.

The general lowering of income levels from higher unemployment and sluggish corporate profits, Harada commented, has been affecting demand in the nonexport sectors of the economy as well, exacerbating defla-

tion. "There's no denying that the exchange rate has presented a serious challenge to many Japanese companies," Harada added.

Because the yen's value is the rate vis-à-vis the US dollar, it is bound to rise if the United States expands the amount of money in the economy through quantitative easing while Japan does nothing. "The Fed doubled the money supply with QE1 and tripled it with QE2," Harada said, as a means of overcoming the financial crisis. The money supply in Japan, which was not as severely affected by the crisis, has expanded by only around 30%. "That's not nearly enough," Harada asserted. "If Japan had at least doubled its money supply, the yen wouldn't have shot up as high, and jobs wouldn't have been lost."

#### Working at a Disadvantage

Economists have pointed to the fact that while Japan's per capita gross domestic



Yutaka Harada

product is nearly identical with that of South Korea in purchasing power terms, it is twice the South Korean figure when calculated using exchange rates, suggesting that the yen is disproportionately strong against the won.

"The Korean won depreciated by 30 percent against the dollar while the yen appreciated by 30 percent," Harada said, "so there's obviously going to be a big gap in the values of the two currencies."

South Korea has been known to intervene directly in the currency market to adjust the exchange rate, "But the BOJ can do the same if it wanted to," asserted Hamada. "It's been overly timid, thinking that if it aimed for the green it would overshoot it, so it's been using a putter to get itself out of a bunker for the past fifteen years. Many excellent studies have shown the extent to which Japanese companies have been placed at a disadvantage by this policy," the Yale professor said, "but such studies have categorically been ignored by the central bank and the major media in Japan."

The issue of Japan's huge public debt cannot be overlooked, however, and the Abe administration has announced a fiscal stimulus package that is likely to exacerbate that debt. "Under the circumstances, there's really no choice but to opt for reflation and somehow get the economy to a state close to full employment," Hamada said. "Only then can we gauge how bad Japan's fiscal condition really is. Any hike in the consumption can wait until then."

Morinobu, though, pointed to the potential risks of higher interest rates on the real economy. "Higher interest will mean that the value of government bonds held by Japanese financial institutions will depreciate," he claimed. "A 1 percent rise in interest rates will mean a decline of 10 trillion yen in the book value of these bonds. Such a drop will surely affect the capital adequacy ratio, and could lead to a credit squeeze."



Harada offered the reminder that this has been the argument given by the Bank of Japan for not adopting a quantitative easing policy. "Bonds aren't the only assets financial institutions own," Harada said. "They also have loans, equities, and real estate. The bigger banks also have overseas assets, so a cheaper yen will boost those values. If quantitative easing can produce a lower yen, higher nominal GDP, more jobs, and increased tax revenues, there's no good reason not to take this step."

"The points we discussed today have been pondered at great length by economists over the past 250 years," Hamada said in closing, "but our arguments have often gone unheard, even by central bankers. So in that sense, the attention given me by Mr. Shinzo Abe has been a source of great joy for me. At the same time," he said, "I'm humbled by the fact that it takes politicians to get our message across to the media and the general public."

## Is There a Link between Music and Language?

How Loss of Language Affected the Compositions of Vissarion Shebalin

#### Meta Weiss

How does stroke affect the activities of a musician? Meta Weiss, a cellist and Sylff fellow at The Juilliard School, used an SRA award to conduct research in Moscow about the life and music of Soviet composer Vissarion Shebalin, who lost his linguistic abilities after the second of two severe strokes. By studying Shebalin's journals and sketchbooks, Weiss gained new insights into the changes in Shebalin's compositional style after each stroke, which could have broad implications for our understanding of the functioning of the human brain.

\* \* \*

issarion Yakevlevich Shebalin was born in 1904 in Omsk, Siberia. He lived in the Soviet Union until his death in 1963 and spent his entire professional life in Moscow. He began his musical studies in Omsk with Mikhail I. Nevitov before transferring to the Peter I. Tchaikovsky Conservatory in Moscow under the tutelage of Nikolai Myaskovsky. Those who knew him always admired his work ethic, modesty, organization, and innate ability as a composer. After com-

pleting his studies at the Tchaikovsky Conservatory, he taught there as a professor and eventually became its director, a position which he held from 1942 to 1948.

The year 1948 was a stressful time for all Soviet composers, and Shebalin was no exception. He was accused of formalism and stripped of his position in the Composer's Union as well as at the Conservatory. Many of his family members believe that



The author performing at the Shebalin Music School.

Meta Weiss Sylff fellow, 2011, Juilliard School. An internationally renowned cellist and top prize winner in numerous competitions. Currently a doctoral student at the Juilliard School.

#### SRA & SLI

the first stroke that he suffered in 1953 was as a result of the many political stresses of the time. He was able to make an almost complete recovery following the stroke in 1953, with the exception of the lingering paralysis of his right arm and leg. He relearned how to write with his left hand, and continued to compose as well as



With a former student of Shebalin, Mr. Roman Ledenov.

teach. As a teacher, he remained extremely devoted to his students, even during his prolonged illness.

In 1959, he suffered a second stroke that resulted in aphasia. This was especially tragic because of his strong literary background and upbringing; before the strokes he was fluent not only in Russian, but also German, French, Latin and a bit of English. He worked with a team of linguists, neuropsychologists, and doctors to regain the Russian language, and although he was

limited in his physical activities by his doctors, he set aside time every day to compose and keep a journal of his activities (with the help of his devoted wife, Alisa Maximovna Shebalina).

By virtue of the fact that Shebalin was a Soviet composer—and he deliberately did not do any self-promotion despite his reputation within the Soviet Union as a leading composer and composition teacher—his music and name essentially died with him in 1963. There is almost no literature on him that is published in English, and when his name does come up in music history articles, it is only in conjunction with the political events of 1948. Shebalin, however, has intrigued the neuroscience community for many years since his case was reported by Drs. Luria, Futer, and Svetkova in the 1960s.

My dissertation will be the first paper in any language to discuss Shebalin's music through the lens of his medical condition. My aim is to analyze Shebalin's music, focusing on his string quartets, both pre- and post-aphasia, in order to discover a link, if any, between Shebalin's loss of verbal language and a change in compositional language. I am collaborating with Dr. Aniruddh Patel at Tufts University, a neuroscientist whose research focuses on music and language. While there have been other (better known) composers who suffered brain injuries, Shebalin is unique in that his condition was characterized by an almost complete loss of verbal language, and we can, based on his sketchbooks, create a fairly accurate timeline of his compositions as well as view the changes in his compositional process. This is inferred by studying the different motivic units Shebalin was constantly writing in his sketchbooks, as well as the more obvious indicators, such as handwriting

#### SRA & SLI

(left vs. right) and pen color. The string quartets were chosen because they span the creative output of the composer from all periods of his life, and Shebalin himself said that they were the compositions he was most proud of and represented him the best.

After receiving my SRA grant, I traveled to Moscow for the month of October on a student visa and enrolled in the post-graduate program of the Tchaikovsky Conservatory. After exhaustive research working with a Russian-English translator, I was able to track down the location of all of the archival materials on Shebalin, and I was fortunate enough to obtain access to everything that was relevant to my



With Shebalin's family members and directors of the Shebalin Music School.

research. This included the RGALI State Archive, the Glinka Museum Archive, and the Tchaikovsky Conservatory Archive and Reading Room.

Additionally, I was also able, using the Russian "vKontakte" social networking site, to locate the Shebalin family. They granted me permission to visit Shebalin's summer estate, interview his surviving family members, family friends, former students, and doctors. Two of the three thera-

pists/neuropsychologists who helped Shebalin with his linguistic rehabilitation following his second stroke had already passed away. Again using vKontakte, I reached out to Dr. L.S. Svetkova, the only living team member who treated Shebalin during his rehabilitation, and she agreed to send me her detailed notes and records that she kept while he was her patient.

The highlight of my research was the sketchbooks that are housed in the RGALI State Archive. Shebalin worked quickly and methodically, and was constantly scrutinizing his work. His sketchbooks proved to be much more revealing than any of the manuscripts or other scores. Unlike the detailed journals kept by both Shebalin and his wife, the sketchbooks are unbiased. They show his compositional process neatly and efficiently. Also, because his right side was paralyzed following the first stroke, one can clearly see the change from writing with his right hand to writing with his left hand. They also reveal that after both strokes, he did not simply go back to older works and revise them but he also created completely new and different works.

Shebalin's music changed in several ways post-stroke. There are distinct differences in the structure of the themes, the imagery of the music, and the scale of his compositions. After his second stroke, he also experimented with a pseudo-twelve-

tone style, though still within the tonal idiom, writing themes that featured all twelve tones melodically but relied on the functional harmony of tonality. Perhaps counterintuitively, his music was full of optimism following the onset of his aphasia, and, like his music before the strokes, the music was very clean and straightforward, but with new richness and depth despite the economy of means.

Upon further analysis, it is anticipated that although it will be relatively easy to differentiate the pre- and post-aphasia musical traits, it will be difficult to attribute an exact cause-effect relationship between the change in compositional language and loss of verbal language for two reasons.

First, despite the fact that both Shebalin and his close family and friends many of whom were interviewed in the course of this research—deny that he ever buckled to political pressure, it will be difficult to definitively separate changes in

his music due to political pressure and those due to his medical condition or changing musical taste. The second reason is that because of Shebalin's fragile physical state following the strokes, he was easily fatigued and thus limited to composing only a few hours a day by his medical doctors. Preliminary analysis reveals that his musical style is markedly more succinct following the strokes, though this may be a result of the doctor's restrictions.

Through the research conducted with the SRA grant, I was able to construct a complete picture of Shebalin and his compositional output. The future implications of this research are twofold. First, and perhaps most importantly, it would provide hope for stroke victims that in spite of the odds, Shebalin was able to continue to create music and express himself



With Shebalin's great-granddaughter and great-great-granddaughter, outside the Shebalin Music School in Moscow.

through his most beloved medium—composition. Second, by analyzing his music both pre- and post-aphasia, it may reveal certain processes or elements that are shared by both music and language that remain intact despite the loss of language (such as syntax and grammar).

In this way, my research may help future stroke victims to recover certain aspects of language and aid in our understanding of the brain and mind, a subject with implications far beyond just music or Shebalin.

November 19, 2013

# In Search of the New Historians

Fieldwork in the "Holy Land"

#### Khinvraj Jangid

Khinvraj Jangid, a Sylff fellow at Jawaharlal Nehru University in 2012, used his Sylff Research Abroad (SRA) award to research Israel's "New Historians" and their views, who challenged traditional interpretations of the first Arab-Israel War of 1948. He conducted his field research at Ben-Gurion University of the Negev in Be'er Sheva, Israel, and his findings formed the core of his doctoral dissertation. A summary of his research and fieldwork is presented below.

\* \* \*

#### Research

The case of the contested history of the 1948 War, or the first Arab-Israel War, within Israel is the subject matter of this research. It focuses on a group of Israeli historians who challenged the traditional understanding of the 1948 War on the basis of declassified documents from Israeli archives. The leading scholars of this

group are known as the New Historians. The word 'New History' is applied to their historical writings and their school, which primarily included Benny Morris, Ilan Pappe, Avi Shlaim, and Tom Segev. Due to Israel's liberal declassification laws, many archival materials became available from the late 1970s, enabling access to the original war papers and documents of the 1948 War.



Ben-Gurion University of the Negev, where the author conducted his research.

Khinvraj Jangid Sylff fellow, 2012, Jawaharlal Nehru University. Submitted his PhD thesis to Jawaharlal Nehru University. Teaches a post-graduate course as guest faculty at the Indian Society for International Law, Krishna Menon Bhawan.

However, this alone does not explain the critical reexamination of Israel's role in 1948. Some crucial social and political events played important roles in prompting the historians to take a renewed look at the country's past. These include the June 1967 War, the Israeli invasion of Lebanon in 1982, and the outbreak of the first Intifada in 1987. A generational change was also one of the factors behind the emergence of the critical reflection of the past. The generation born around or after the 1948 War was more self-critical and less attached to the emotional aspects of the war, as this was the first generation that did not participate in the war or witness its hardships.

The contested issues of the 1948 War between the new and conventional<sup>1</sup> views of history can be summarized in the following points:

- The conventional version stated that Britain tried to prevent the establishment of the Jewish state; the New History argued instead that Britain tried to prevent the establishment of a Palestinian state.
- The conventional version claimed that the Palestinians fled their homes of their own free will or at the behest of their leadership; the New History countered this by stating that the refugees were either compelled to flee or were chased out.
- The conventional version stated that the balance of power during the 1948 War was in favor of the Arabs; the New History contested the claim and argued that Israel had an advantage, both in terms of manpower and arms.
- The conventional version narrated that the Arabs had a plan to destroy Israel but failed to execute it; the New History suggested that the Arabs were not united as commonly understood but were divided and fought for their individual gains, not for securing the Palestinian state.
- The conventional version maintained that Arab intransigence prevented peace; the New History insisted that Israel is primarily to be blamed for the deadlock at the end of the war.

The fieldwork enabled me to interview the New Historians as well as their critics in Israel. The conversations with many scholars and historians, such as Benny Morris, Avraham Sela, Jose Brunner, Eyal Naveh, Yoav Gelber, Yosef Gorny,

<sup>&</sup>lt;sup>1</sup> It is important to clarify that there is not a well-explained and established body of work called "conventional history" in Israel. The history written prior to the New History is considered a conventional or traditional account of the 1948 War. (The word "official" is used by the New Historians.)

Rafi Nets-Zehngut, Dani Filc, Amnon Raz-Krakotzkin, and David Newman illuminated the various contours of the academic debate of the historians. For the interviews, I travelled to other prominent universities in Israel, including Hebrew University of Jerusalem, Tel Aviv University, and the University of Haifa. The chance to speak with the historians about their work and their ideological and political underpinnings was very fruitful, providing answers to some of the key questions that had guided my research, such as:

- What is the significance of Israel's preoccupation with the historical interpretation of the 1948 War?
- How does the self-critical historical narrative of New History affect the Israeli polity and society?
- What is the relevance of the New History? Where is its place within Israeli society and politics, two decades after the emergence of the New Historians?

The conversations provided me with the knowledge of the personal journeys of the New Historians which explained the nuances of their ideological or political



Installed art on the BGU campus titled "Three Coats and a Travel Trunk," symbolizing graduates of the university walking out into the "real world" with the knowledge accumulated during one's university years.

evolution. For example, Benny Morris spoke of his disenchantment with the other fellow New Historians like Ilan Pappe and Avi Shlaim in the aftermath of second Intifada (2000-2004). The New Historians had more differences than commonalities right from the beginning. But an event like the second Intifada revealed how the New Historians came under influence of the political events. On the other hand, the conversations with the critics of the New Historians made me realize to

look at the works of the other historians who made significant contribution to the body of knowledge pertaining to the issues of the 1948 War like Avraham Sela and Yoav Gelber.

The debate about the 1948 War ensued with the New Historians influenced Israeli society. First, they brought about a change in the teaching of history in Israeli high schools. The inclusion of the Palestinian version of the 1948 War in

school textbooks and mentioning the reasons why the Palestinians call the 1948 War a "catastrophe" paved the way for a mutual understanding of those events. The younger generation is more aware of what happened to the Palestinians in 1948. Since a nation's collective memory and collective identity are shaped through history textbooks more than through any other means, the teaching of a more balanced account of the 1948 War at the school level signifies an important contribution by the New Historians.

Second, the New Historians have enabled the general Israeli public to understand how Arabs perceive Israel and how they view the common past. The redefining of the Israel-Palestine relationship through historical revisionism has helped society understand the "other" in a more compassionate manner and not in antagonistic terms. The rise and growth of the debate in academia and the media is a good indication of the attention it received in Israel and abroad. The opportunity to bridge the narratives of the Palestinians and Israelis through a fuller knowledge of history is a noteworthy consequence of the work of the New Historians.

Third, they inspired sociologists in Israel to take a critical view of Zionism as a political ideology. A recent development in Israeli academia has been the rise of revisionist sociologists known as post-Zionists who have been re-examining the evolution of Zionism and suggesting limiting its influence on state policy.

Thus, the New History was instrumental in shaping a new understanding of the 1948 War. After provoking debate, it was integrated into the Israeli academia, where it was examined, debated, and eventually accepted. But while the New History has had a discernible impact on Israeli society, it has thus far had no tangible impact on policymaking.

#### The Past as a "Foreign Country"

The experience of conducting research abroad was meaningful in more ways than

one. Academically, it required me, a student of international relations from India, to interact in a society that was foreign and unknown. Studying the history of the 1948 War was a process of understanding the birth of the state of Israel. It explained the origins of the protracted conflict between Israel and the Palestinians. In the history of modern international politics, the Israel-Palestine con-



The BGU campus at dusk.

flict stands out as one of the most complex examples of the formation of a nation-state through the use of force. Sovereignty and territorial issues between Israel and Palestine are far from being resolved, and they also offer a challenge to international conventions and organizations.

On a personal note, staying in a dormitory with Israeli, a few Palestinian, and other students gave me precious opportunities for interaction. The conversations I had reminded me that a wide gap still separates the perceptions of history held by most people and the findings of scholars. University life at Ben-Gurion University was an invitation to interact with the younger generation of Israeli society. Many of the students I spoke with understood the role of the past and of historians in helping resolve present-day conflicts. The role of historians is considered critical in any society. But how much impact do they really have on society?

The younger generation tends to think of the past like events in a "foreign country." The debate of the historian was too political for the generation which is getting apolitical. They feel that what happened in 1948 has only a minor role in their lives. Nevertheless, university life was full of political and ideological encounters. In May 2012, on the occasion of the annual Palestinian demonstration of *Nakba* (meaning catastrophe, a term used by the Palestinians for the 1948 War), there was a heated debate that university space was being used against Israel's national interests. The on-going debate in the social sciences pertaining to the Arab Spring was another example of the attention being given to regional political events and their impact on the State of Israel.

For this research work, Sylff fellowship and SRA award made significant contribution. The year 2009 when I was selected for Sylff was a turning point for me. I was born and brought in a framing family in Rajasthan. Being considered part of an international fellowship and the prestigious association with Tokyo Foundation inspired me for the academic world.

November 12, 2013

# National Policy in the Local Context

Exploring the Influence of "Guest" Workers in Fernie, British Columbia

#### Laurie Trautman

How do national immigration policies influence local communities? Laurie Trautman, a geographer who received a Sylff fellowship from the University of Oregon in 2012, explores how "guest" workers in rural resort economies in the United States and Canada are reshaping local labor markets and community dynamics. In the summer of 2013 she conducted fieldwork in British Columbia, Canada, using a Sylff Research Abroad award, and here she highlights some of her preliminary findings.

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he importation of foreign labor is becoming an increasingly common strategy used by advanced industrial economies to maintain global competitiveness. While guest worker programs are designed to import foreign workers on a temporary basis, such policies have a lasting impact on local economies and communities. Despite these impacts, the bulk of literature on immigration has largely overlooked guest workers, who are perceived as having little long-term influence in the communities in which they work.

While guest worker provisions have been a major source of conflict in the United States since World War II, recent Canadian immigration policies have made a decisive shift away from an emphasis on multiculturalism towards a strategic focus on meeting temporary labor needs. As these changes are occurring, they are producing fundamentally different results that have yet to be extensively examined and compared. Yet, as comprehensive immigration reform is pending in both the US Congress and Canadian Parliament, it is essential that the changing nature of immigration policy—and guest worker programs in particular—is systematically and thoroughly analyzed in a cross-national context.

Laurie Trautman Sylff fellow, 2012, University of Oregon. Currently a PhD candidate in the Department of Geography at the University of Oregon.

This article explores the influence of guest worker policy on both the local labor market and community interaction in the Canadian resort town of Fernie, British Columbia. Based on qualitative interviews conducted during the summer of 2013, this project aims to provide a better understanding of this understudied, yet increasingly controversial, element of immigration policy.

This research is part of a broader dissertation project that links national policy discourse and community experience to understand how guest worker policies are evolving in different national contexts in the United States and Canada—a critical issue given current debates over immigration reform in North America.

At the national level, this project analyzes narratives in the United States and Canada over nation, race, and labor, as reflected in federal legislation since 1990. At the local level, qualitative and in-depth research in two case-study "receiving" communities (Fernie, British Columbia, and Sun Valley, Idaho) shed light on how these national dynamics intersect with local economies, leading to a new understanding of the influence of guest workers on local labor markets and social interaction.

#### Case Study of Fernie, BC

The town of Fernie is located in the Elk Valley of southeast British Columbia and has a population of roughly 6,000 and an economy highly dependent on amenity-based tourism. With a high cost of living, small population base, and seasonal fluctuations in labor demand mirroring the tourist season, Fernie is unable to meet its labor needs locally. In the past several decades, Fernie's reliance on importing labor from abroad has continued to increase.

At the same time, the cost of living in Fernie has skyrocketed alongside second home ownership, which has also created an increased demand for low-wage, lowskilled service-sector jobs. The result is an extremely tight labor market for low-

wage labor in a rural location with a high cost of living, which has pushed many local businesses to develop retention strategies ranging from a free ski pass to medical benefits. However, for particular positions, some businesses have gone beyond established channels of recruitment and turned to the Temporary Foreign Worker Program (TFWP) to meet their labor needs.



Fernie Art Depot

During my research time in Fernie, I conducted 44 interviews and two focus groups with employers, employees, community members, and government officials in order to assess how the presence of temporary foreign workers (TFWs) is shaping the local labor market and community dynamics. I was also involved in participant observation and analyzed local media publications to determine how these dynamics were represented both spatially and socially.

I found that, while most employers relied on workers coming with a working holiday visa (primarily from Australia and New Zealand), a small handful of employers are turning to the Temporary Foreign Worker Program as the tourist season is extending to include both winter and summer seasons. Up until just a few years ago, most employers were able to meet



their labor needs during the peak winter season with young workers coming for the ski season with a working holiday visa, who would then leave in spring, when most businesses either go on vacation or reduce hours. With the demand for labor beginning to switch from a peak season in the winter to more year round needs, employers are searching for a more stable and longer term labor force which, ironically, they are able to find through the TFWP.

Unlike the working holiday visa, which does not tie workers to specific employers, workers coming on the TFWP need to establish employment prior to obtaining a visa, and thus solidify a relationship with an employer who essentially sponsors them. Upon arrival, they are in a committed relationship with their employer. In Fernie, TFWs are occupying specific positions in the labor market that have become increasingly difficult for employers to fill—namely housekeepers, chefs, and fast food workers. At this time, several fast food restaurants and cleaning companies are employing TFWs from the Philippines, establishing a division of labor along both national and racial lines.

#### **Preliminary Findings**

As part of my broader dissertation project, I am analyzing 20 years of national policy discourse in both the United States and Canada. A recurrent theme in both Parliament and Congress is the exploitation and victimization of guest workers, who are often described as being "unfree labor."

This sentiment is echoed in academic literature, much of which highlights a fear that as Canadians increasingly rely on workers with temporary status who have few avenues to permanent residency, "a US-style underclass defined by precarious

status and labour market vulnerability" may be emerging (Goldring et al, 2009: 257).

A preliminary analysis of my findings illustrates that TFWs in Fernie are not victimized by their status, nor do they lack agency, which complicates the overriding sentiments evident in both political discourse and academic literature. In fact, they are able to negotiate the immigration system through the relationship with their employers to remain in Canada beyond the original duration and purpose of their visa. In some instances, TFWs obtain residency and move into higher paying positions. This is surprising, as technically speaking, there is no path to residency for low-skilled TFWs.

I also found that workers coming on a working holiday visa will utilize the



Help Wanted.

TFWP as a strategy to remain in Canada after their visas expire. Thus, while the TFWP is constructed as a national policy aimed at addressing temporary and acute labor market shortages, in Fernie it is actually a strategy used by both employers and foreign workers to achieve stability and long term employment relationships. For employers, it fills a chronic labor shortage, and for employees it is often a path to longer-term residency. Both of these outcomes are almost the polar opposite of the stated purpose of the policy.

Despite the agency on the part of TFWs, there remains a real materiality to the different categories of TFWs and "international visitors" on a

working holiday visa (WHV), which is evident at the local level. TFWs in Fernie are increasingly Filipino, while those on a WHV are almost exclusively young, white, and middle class. Those on a WHV have both social and labor market mobility, as they are able to change employers and come to Fernie with enough disposable income to enjoy the amenities. Above all else, they are not visibly different from the local population.

On the contrary, the geographic and labor market mobility of Filipinos coming as TFWs is extremely limited both by their employment in low-wage positions, their commitment to their sponsoring employer, and perhaps by their obvious position as "minorities" in this small, rural mountain town. This quote from one interviewee highlights this lack of mobility:

"People say that there's this big Filipino community that's growing, but I don't really see it, it's not out there, you don't see them walking around, hanging out at

the bars and coffee shops, so I don't know. They might be serving you a coffee when you drive through Tim Horton's, but that's about it."

The preliminary findings from this case study will be compared with research in Sun Valley in the United States, in order to assess how guest worker policies are influencing both labor markets and community dynamics in different national contexts. The final stage of this dissertation project will analyze national policy discourse in the United States and Canada since 1990, comparing how 'guest worker' policy is constructed within the context of broader immigration objectives. October 25, 2013

# From Promise to Reality

Kisumu Leadership and Development Conference

#### Mari Suzuki

In August 2013 Sylff fellow Otieno Aluoka-the first recipient of the Tokyo Foundation's recently overhauled Sylff Leadership Initiatives program (See http://www.tokyofoundation .org/sylff/support\_programs/sli for details)-used his SLI award to organize a groundbreaking seminar in Kenya on ways to build a government that works for the people. Mari Suzuki, the Tokyo Foundation's director for leadership development, offers a first-hand report on this seminal conference, which attracted over 150 civic leaders and public officials from Kisumu County, one of the semi-autonomous entities established under the country's new democratic constitution.

\* \* \*

enya today is in the midst of a historic transition, the most important change the nation has experienced since achieving independence from Britain 1963. In 2010, Kenya adopted a new constitution centered on democracy and devolution of power. In 2013, the nation's newly established

semi-autonomous counties held their firstever elections to select representatives to the national legislature under the new constitution.

For such democratic institutions to function as they were intended, however, it is vital that voters and officials understand the principles of the new system and their role within it. This was the aim of the Kisumu Leadership and Development Conference,



held on August 26-27 with funding from the Tokyo Foundation's Sylff Leadership

Mari Suzuki Director for Leadership Development, Tokyo Foundation.

Initiatives support program, administered in conjunction with the Ryoichi Sasakawa Young Leaders Fellowship Fund. The following is a report on the background and outcomes of the conference, which I attended as a representative of the Tokyo Foundation.

#### Sylff and the Kisumu Initiative

Sylff is a fellowship program established by the Nippon Foundation and administered by the Tokyo Foundation with the aim of "nurturing future leaders who will contribute to the common good of humanity, transcending the confines of nationality, religion, and ethnicity, even while respecting differences in culture and values." Since its establishment in 1987, Sylff has grown into a fellowship program encompassing 69 institutions of higher education in 44 countries, benefiting more than 15,000 students.

One key feature of Sylff is that it follows through with fellowship recipients

even after graduation. The Tokyo Foundation's Sylff support programs provide ongoing assistance designed to help former Sylff fellows grow into effective leaders who can make a positive contribution to their own societies at the local or national level. One such program is Sylff Leadership Initiatives (SLI), which supports various social action projects initiated by current or former Sylff fellows. The Kisumu Leadership and Devel-



Sugarcane is transported to a mill for processing.

opment Conference was the first project selected for an SLI award since the program was relaunched in modified form in February this year.

The Kisumu conference was organized at the initiative of Otieno Aluoka, a 1999 Sylff fellow at the University of Nairobi in Kenya. Since graduating, Aluoka has worked as a governance consultant to international organizations and foreign governments in Nairobi. At the same time, he has been deeply involved in community action in his native Kisumu, to the west of Nairobi. The networks Aluoka built in both regions helped make the Kisumu leadership conference possible.

Kenya's new constitution is a groundbreaking charter that seeks a wholesale reform of the political process. The aim is to move the nation from a system oriented toward preserving a balance of power among Kenya's 40-odd ethnic groups to one focused on long-term problem solving via democratic processes. Yet the majority of Kenyans continue to vote along strictly ethnic lines. Aluoka realized

that Kenyans had to begin casting their votes on the basis of the candidates' policies, rather than their ethnicity, if democracy was to function properly in Kenya. And for this to happen, elected politicians had to begin rewarding their constitu-



Village women walk with baskets balanced on their heads.

ents in undeveloped areas by representing their interests at the national level and working on their behalf to promote development.

With this in mind, Aluoka decided that the best way to contribute to the development of Kenyan democracy was to organize a conference of county-level politicians (all of whom are new on the job), local officials, and community leaders to enhance their

understanding of the new constitution, and the role of local leaders within the new system. It was the first such conference ever held in Kisumu—located far from the capital in Nairobi—where democratic reforms have been slow to take hold.

# **Historical and Political Context**

To better appreciate the significance of the Kisumu conference, we should take a moment to establish its historical and political context. The chronology below lists the major historical milestones leading up to the promulgation of Kenya's new constitution.

# Brief Political Chronology

- **1963** Kenya gains independence from Great Britain; early constitution provides for multi-party parliamentary system and elected provincial assemblies.
- 1966 Kenya become de facto one-party state; provincial assemblies abolished.
- **1982** After attempted coup d'état, National Assembly officially declares Kenya a one-party state. Country is divided into eight provinces under provincial commissioners, who are appointed by the president.
- **1991** Constitution revised to permit multi-party elections and limit presidents to two terms.
- 2002 President Daniel arap Moi steps down after 24 years in office; movement for constitutional reform picks up steam.
- 2007 Presidential election held under new election law. In post-election violence,

some 1,500 Kenyans are killed and tens of thousands are forced to flee their homes.

2010 New constitution enacted with the aim of preventing further violence, devolving political power to local districts, protecting the rights of minorities, and solving long-term problems.

#### Government under the New Constitution

Previously, Kenya was divided into eight provinces, which were under the direct control of the central government. The new constitution (specifically, Article 11) provides for the devolution of power to the local level. It divides the country into 47 counties, each of which has a locally elected governor and deputy governor. (Governors are typically male, while deputy governors are most often female.) Elections and lawmaking are governed by the rules of multi-party parliamentary democracy. The constitution also features special provisions designed to guarantee that marginalized groups, such as women, disabled persons, and youth, have representation at the local and national levels.

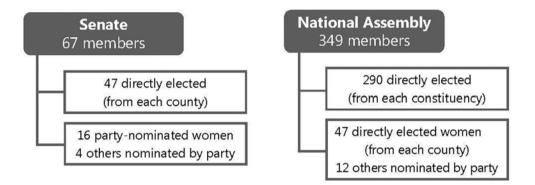
Kenya's 47 counties are each divided into anywhere from 2 to 12 constituencies, depending on their population, and each constituency is further divided into 5 wards. Each ward elects a representative to serve on the county assembly, which works with the governor to govern the county. In addition to ward representatives, each county assembly has six nominated members chosen to represent marginalized groups. Members are also selected as necessary to ensure that neither male nor female members control more than two-thirds of the seats in any given county assembly.

At the national level, Kenya has a bicameral Parliament made up of the Senate and the National Assembly. The Senate consists of 67 members. Of these, 47 are elected from each county by direct ballot. In addition, 20 seats are reserved for marginalized groups: 16 for women, 2 for youth, and 2 for the disabled. These are filled by party nomination according to each party's share of the vote.

The National Assembly consists of 349 members. Of these, 290 are elected by popular vote, one from each constituency. Another 47 seats are filled by women elected from each county. Finally, 12 members are selected by party nomination to represent the disabled and other marginalized groups.

The legislators elected from counties and constituencies around the country gather for parliamentary sessions in Nairobi, where they represent the interests of their respective districts while participating in important decisions regarding budget allocations. Kenya's least developed counties are entitled to allocations from an

# Figure 1. Kenya's Bicameral Parliament



"equalization fund" amounting to 0.5% of state revenues, but only on request. How much a county receives hinges largely on the efforts of its representatives in Parliament.

# Kisumu and the Leadership Conference

Kisumu County is located in western Kenya, far from the nation's capital. (To the southwest, in neighboring Siaya County, lies the village of Nyang'oma Kogelo, birthplace of Barack Obama senior.) The city of Kisumu, on the shores of Lake Victoria, has historically functioned as a major center of East African commerce. Because of its location along Africa's largest lake, the area is ideally situated for fishing and fish processing, but the central government has long controlled key

concessions on the lake, and economic development has left many of the inhabitants behind. Fishing, sugarcane farming, and rice farming are the county's principal industries. Kisumu has long been riven by a fierce political rivalry between the Luo and Kikuyu peoples, and these ethnic tensions erupted into deadly violence following the controversial outcome of the December 2007 presidential election.



The Kisumu Leadership and Development Conference was held on August 26 and 27 at a community complex in Chemelil ward in Muhoroni, one of Kisumu County's seven constituencies. The conference drew more than 150 participants, including members of the Kisumu County Assembly, various community leaders

from each constituency (including representatives from the farming and fishing industries, business, nonprofit and civic organizations, research entities, the legal profession, the teaching profession, women's groups, and so forth), and members of the Kisumu County Executive Committee, as well as a number of legal experts and civil rights experts from Nairobi. The region's ethnic plurality was also on display at the conference: Along with the Luo, who make up the majority of the district's population, the Kikuyu, Kalenjin, Nandi, and other ethnic groups were well represented.

The plenary sessions featured talks by scholars, members of the Kisumu County Executive Committee, a National Assembly member (from neighboring Siaya County to ensure neutrality), and others regarding the principles of the new constitution and the political and administrative systems it established. Each of the speakers fielded numerous questions from the audience. In breakout sessions devoted to healthcare, education, transportation, water, and law and order, participants discussed the issues facing Kisumu and what must be done to resolve them.

By the end of the two-day conference, the groundwork had been laid for future meetings by citizens interested in formulating concrete proposals in each area and submitting them to the county government. Equally significant was the bonds newly forged among the county's civic and economic leaders, many of whom met for the first time. Participants from diverse sectors pledged to work together to make the new constitution's promise a reality.

A number of the conference participants provided positive feedback regarding the event and its significance. The following is a sampling.

Teresa Okiyo, a research officer at the Kenya Agricultural Research Institute, noted that very little information had reached Kisumu regarding the new constitution and the process of devolution, and she praised the conference for helping participants see what they needed to do to make their voices heard in government. (Okiyo has studied paddy farming in Yamagata Prefecture under a Japan International Cooperation Agency training program.)

Dr. Rose Kisia, Kisumu County Executive Committee member in charge of commerce, tourism and heritage, called the conference an important first step that had made Kisumu a model for other counties to follow.

Legal Resources Foundation Trust director Janet Munywoki, who arrived early in the morning on the first flight out of Nairobi, noted that she had traveled to Kisumu at her own expense, convinced of the importance of such a groundbreaking conference. She stressed the need to hold similar gatherings throughout Kenya.

## Significance and Impact

Returning to Nairobi after the conference, I had the opportunity to speak with John Smith-Sreen, director of democracy, rights, and governance for USAID (US Agency for International Development) in Kenya. Noting that the new constitution had been 10 years in the making, Smith-Sreen stressed the importance of the next three to five years in laying the groundwork for a functioning democracy.

Political instability, corruption, and inefficiency have long stunted Kenya's growth and development. Fair democratic elections and the devolution of power to the counties are critical to the nation's future economic growth. This is why American, British, Canadian, European, and UN agencies are actively involved in supporting Kenya's reforms. USAID has placed special emphasis on promoting effective coordination between the state and the counties. But another key task is to ensure that civil-society organizations are informed about the new constitution and to encourage their political participation at the local level.

By promoting public understanding of devolution and helping to forge linkages between the county government and the local citizens, the Kisumu leadership conference has made an important contribution to the local community and Kenyan society as a whole. It is a contribution made all the more significant by the fact that it occurred when it was most needed. In this period of sweeping change for Kenya's government, society, and economy, the nation has scant resources to spare for such consciousness-raising efforts. This is why Otieno Aluoka's initiative was such an effective use of SLI funds.

#### A Role Model for Young Leaders

Otieno Aluoka, who successfully organized the Kisumu leadership conference using a Sylff Leadership Initiatives grant from the Tokyo Foundation, has an excellent understanding of the Sylff mission to "nurture future leaders who will contribute to the common good of humankind." Aluoka has been actively involved in the Sylff network for 14 years, ever since receiving a fellowship at the University of Nairobi. In March 2008,



Otieno Aluoka at the Kisumu leadership conference.

in the wake of the violence precipitated by the December 2007 election, Aluoka

submitted an article titled "Kenya's Post-Election Violence" to the Sylff website, in which he argued eloquently for the need for stronger legal and judicial institutions to create a just society in Kenya.

An anthropology major at the time he received his fellowship, Aluoka put his education to good use, subsequently devoting himself to law studies at the University of Nairobi. More important, he has used the fruits of these academic labors not merely to lift himself up but to build a better society. This is precisely the outcome envisioned by the Sylff program, and it is this impulse that SLI and other Sylff support programs were designed to encourage. Listening to the lively and passionate discussion among participants at the Kisumu conference—described by Aluoka as the starting point for the devolution of power in Kisumu County—I was struck by how closely his initiative dovetailed with the purpose of the Foundation's Sylff support programs. This was truly money well spent.

By supporting the activities of Sylff fellows after they go out into society, SLI complements the fellowship program in an important way, defining Sylff's long-term aims and expectations and encouraging concrete social action. As the program continues, the success stories of people like Otieno Aluoka will provide inspiration for other Sylff fellows and contribute further to leadership development around the world.

Leadership development is a long-term undertaking. But the young leaders that the Sylff program has nurtured over the years have continued to grow, and last August I was able to watch as one of those leaders made an important and timely contribution to his nation's development during a period of political and social transformation. I am hopeful that Otieno Aluoka's example will serve as a stimulus and encouragement to young leaders in Kenya and around the world.

#### Postscript

Following the conference, Otieno Aluoka compiled a list of proposals based on the discussions held at the conference and submitted them to the Kisumu County Executive Committee on September 9, with the cooperation of Chemelil ward representative Joseph Osano, who has pledged to push for their adoption. Issues and ideas raised at the conference received coverage in three of Kenya's national newspapers, and they are already beginning to influence policy makers at various levels.

September 26, 2013

# Responses to Anti-Semitism in Pre-World War II South Africa

#### Myra Ann Houser

Myra Ann Houser is a specialist in African history who received a Sylff fellowship from Howard University in 2012. She conducted research in South Africa using a Sylff Research Abroad (SRA) grant from May to July 2013, collecting archive materials on anti-fascist activities during the twentieth century. Here, she describes the Jewish community's perceptions of the growing anti-Semitism movement in pre–World War II South Africa.

\* \* \*

**P**rior to the mid-twentieth century, South Africa was regarded as one of the most Jewish societies in the world. For about a century after 1820, it experienced a high degree of religious freedom, and the prevalence of white settlers in the region made it an attractive place for Jews searching for new homes. It possessed a high population of Jewish individuals, mostly of East European descent, who had emigrated, in most cases, to avoid anti-Semitism and persecution in Europe.

Within the Union of South Africa, particularly following the Second South African War, however, politics between English- and Dutch-descended white South Africans created divisions and distinctions within society as they jostled to gain political control, and the country's large Jewish population often became the targets of hostilities. Manifestations of growing anti-Semitism include the



The University of the Western Cape, where the author conducted her research in South Africa.

Myra Ann Houser Sylff fellow, 2012, Howard University. Currently a doctoral candidate at Howard University.

1937 mandate by rightist South Africans that the "Jewish problem" be solved and boycotts of Jewish businesses.

Such hostilities increased during the 1930s and 1940s, as South Africa's radical right became ever more tightly bound to and admiring of European fascist regimes. Nationalists in conservative "brotherhood" organizations, such as the Ossew-abrandwag and Broederbond, discovered that individuals sharing their ideals—such as Adolf Hitler and Benito Mussolini—had risen to positions of power. The nationalists became ever more admiring of them and made pilgrimages to Europe in order to hear speeches by and meet with those whom they idolized.

There is evidence that some Jews viewed South Africa as a proverbial city on a hill prior to their repression during World War II. In a 1936 letter to Interior Minister J.H. Hofmeyer, the secretary of the South African Jewish Board of Deputies cited the country's history of religious tolerance:

South Africa has a proud past of having liberally treated those who have been compelled for reasons of religious or racial persecution to leave their native land, and seek a new country imbued with a spirit of justice. A great many South Africans proudly claim descent from the Huguenots, who were themselves refugees in a situation similar to those arriving from Germany.<sup>1</sup>

A follow-up letter to Prime Minister J.B.M. Hertzog later that year indicated that South African Jews had voiced numerous complaints regarding their harsh treatment by both teasing civilians and the political authorities, who subjected them to increased bureaucratic harassment as nationalism increased within government and officials placed increasing immigration restrictions.<sup>2</sup> Though the letter mentions no specifics in terms of what it calls "harsh treatment," it does allude to growing discontent among the country's Semitic population and states that many who had come to this new land in search of calm and to avoid persecution now found themselves being harassed by both neighbors and state authorities. This presumably would have increased by 1938, when South African officials were following the policies of the Europeans they admired in enacting stringent anti-

<sup>&</sup>lt;sup>1</sup> "South African Jewish Deputies Board Secretary to J.H. Hofmeyer, June 2, 1936," British Jewish Board of Deputies Papers, Reel 66, United States Holocaust Memorial Museum, Washington, DC.

<sup>&</sup>lt;sup>2</sup> "South African Jewish Deputies Board Secretary to J.B.M. Hertzog, October 13, 1936," British Jewish Board of Deputies Papers, Reel 66, United States Holocaust Memorial Museum, Washington, DC.

immigrant laws and severely limiting the number of Jews coming in to the country.<sup>3</sup> As the Broderbond and Ossewabrandwag became increasingly enamored with fascist governments, their anti-Semitic propaganda also grew.

A government reply to the first letter mentions "anti-Jewish activities" during "the past two years" and assures SAJDB that it is monitoring the situation. It did not, however, provide further context for the situation.<sup>4</sup> These gaps can be filled in using family papers, interviews, and memoirs. These deeply personal documents chronicle the micro-aggressions that South Africa's Jews experienced during this period, and several place the incidents—such as boycott campaigns and efforts at spreading libel against Jewish community leaders—within the larger context of



South African troop debris in the Namib Desert from World War II.

international fascism. A number of documents directly compare the situation in South Africa to Holocaust-era or pre-Holocaust-era Europe.

Joan Marshall's 2005 memoir Darling Mutti shares a slightly different perspective of Jewish immigrant life in South Africa. Marshall's parents had come to the country in 1936 and received work papers. They became active in their large social circle, and their main experiences with anti-Semitism were during their earlier years, when they lived in Germany. They also corresponded regularly with family members in Germany and were well aware of developments in that country, but they did not refer very much to anti-Semitism in their South African lives.<sup>5</sup>

Much like the Marshalls, the Rahlyn Mann family benefitted from privileges of material comfort and social connections in white South Africa. Mann, the only Free State woman to be deployed to Europe as a postwar social worker, told an interviewer that she did not experience any overt anti-Semitism as a child. She did, however, experience a sense of being different from her peers. Mann was one of few Jewish students in her primary school and the only one in high school. She thus left school early on Fridays to prepare for Sabbath, in contrast to most of her peers, who remained in class for the duration

<sup>&</sup>lt;sup>3</sup> Joan Marshall, ed., Darling Mutti (Jacana Media, 2005), 23.

<sup>&</sup>lt;sup>4</sup> "Minister of External Affairs to Secretary of the South African Board of Jewish Deputies, August 19, 1936," British Board of Jewish Deputies Papers, Reel 66, United States Holocaust Memorial Museum, Washington, DC.

<sup>&</sup>lt;sup>5</sup> Marshall, 1–23.

of the week. Mann also told her biographer that she fought hard for Hebrew to be included as a matric subject, not taking for granted that her peers and educators would find it as interesting or important as she did. Mann eventually said that she chose to enlist in the Red Cross as a South African citizen rather than as a Jew, placing her pride of country ahead of her feelings of awkwardness.<sup>6</sup>

Milton Tobias, on the other hand, felt more subjected to anti-Semitism than Mann did. He recalls that, prior to Germany's Nazification and its growing ties with South Africa's radical right, he "hardly ever" experienced negative feelings. Following the outbreak of World War II, however, he said that anti-Semitism was "all around," manifesting itself through micro-aggressive slurs and taunts, as well as through government policies that were unfriendly toward the nation's Jewish population. After going to war as a Union soldier in the Royal Air Force, Tobias returned to South Africa thinking about his Lithuanian grandfather and greatgrandfather in the light of the concentration camps he had seen. He recalls thinking of the similarity between their situation and his, which though not as dire as that of people living inside the camps, was nonetheless marked by discomfort and oppression.<sup>7</sup>

Anti-Semitism in South Africa did not begin with World War II. Taffy Adler has traced it to at least the beginning of the twentieth century, when Jewish workers began migrating to the country and its mines as industrialization increasingly brought together people from different races and backgrounds.<sup>8</sup> According to Adler, many white collar workers who left Europe due to physical threats or verbal taunts found themselves among the working class in South Africa. Jewish workers in the country were thus subjected to both the micro-aggressions and class-based policies that they sought to leave behind. The harassment became more pronounced as World War II approached, and a rightist movement arose that claimed paradoxically to be "anti-immigrant" and "anti-native" (or, less paradoxically, pro-Afrikaner).

My dissertation has evolved to incorporate the subsequent oppression of socialism as well, as I believe—based on my long-standing interest in World War II—it is important to examine the interactions between these early twentieth-century developments. During the 1930s and 1940s, a number of Jewish individuals—

<sup>&</sup>lt;sup>6</sup> Rahlyn Mann, interviewed by Barbara Linz, Sydney, Australia, April 16, 1996, USC Shoah Foundation Collection, United States Holocaust Memorial Museum, DVD.

<sup>&</sup>lt;sup>7</sup> Milton Tobias, interviewed by Padigail Meskin. Durban, South Africa, December 1, 1995, USC Shoah Foundation Collection, United States Holocaust Memorial Museum, DVD.

<sup>&</sup>lt;sup>8</sup> Taffy Adler, "Lithuania's Diaspora: The Johannesburg Jewish Workers' Club, 1928–1948," Journal of Southern African Studies, 1979, 6.1, pp. 70–92.

having become aware of the marginalization occurring in South Africa's racist structure—began lobbying for change. This would continue, as orthodox Jews began turning to radicalism during the apartheid era. It is imperative, therefore, to understand this period as being pivotal in the country's history and protest tradition, and I will attempt a further examination of this topic as part of my dissertation and, I hope, during my scholarly career.

August 21, 2013

# **Voicing Violence**

Constructing Meaning from Narratives by Children in Red-Light Districts of South Kolkata

#### Anindita Roy

Anindita Roy received her Sylff fellowship from Jadavpur University in India in 2012 and conducted research in the United Kingdom using a Sylff Research Abroad grant from April to June 2013. In this article, she writes of children's psychological development under adverse conditions in India, based on an analysis conducted in the UK of the field data she gathered over a year of research in Kolkata, India.

\* \* \*

Interests drive passion. Sometimes, they also help to shape paths that lead one to journeys of exploration. In my case, an interest in children and their development have led me into landscapes of the mind and how it constructs meaning. Specifically, mine has been an academic endeavor to understand the mean-

ing-making process and its relation to identity formation, especially in children living in adverse environments. My research was carried out in two red-light districts of Southern Kolkata, and its participants were girls and boys aged between 8 and 14. During this year-long research, I was often asked about my choice of research site: "But, why the red-light district?"



#### **Empowering Children in Economically Deprived Areas**

Through a community involvement project I undertook at Jadavpur University, I had the opportunity to access the red-light neighborhoods of Kalighat and Khid-

Anindita Roy Sylff fellow, 2012, Jadavpur University. Submitted her thesis to the School of Cognitive Science, Jadavpur University.

derpore (which are the sites I chose in my research for a master of philosophy degree). The project was designed to empower children in economically and socially deprived areas whose needs and concerns, it was felt, were largely under-represented and often misrepresented. The participants of this project were trained to become radio reporters so they could express themselves on the platform of a community radio station located on the Jadavpur University campus.

There was one young and promising participant from Kalighat who suddenly stopped attending the training sessions after an enthusiastic involvement over half a year. We were informed by the institution that introduced us to the children in this sensitive area that the participant had left the city and returned to the village and would no longer be able to join the project. It was some weeks later that this participant was seen (by several members of our project) standing with a couple of young girls on the lanes of Kalighat, trying to get clients. We assumed from what we saw that the child, who was still a minor, had become part of the flesh trade. Over the course of the project, a few more children left to "go back to their villages." (This is not to suggest, though, that they, too, entered the same profession, for unlike the first participant, they were never seen again.) I was less troubled about the truth of what we were told and why than about the kinds of thoughts that must have run through the children's minds as they made their choices.

How did they make their choices? This was my chief concern: How do these children perceive their lives and the environments in which they live, as well as the meaning and consequences of the choices they make? The current research is part of an organic work in progress—an attempt to understand some of the questions that had seized my mind a long time ago.

#### Narratives of Abuse, Violence, and Suffering

Coming back to this work, made possible when my proposal was selected for a Sylff Research Abroad award, gave me an opportunity to carry out advanced research in the United Kingdom, where I was guided by scientists and teachers in the fields of psychology and childhood studies. A significant objective of my SRA project was to identify patterns from narratives created by children to understand their psychological development. For my fieldwork, participants were asked to take part in semi-structured interviews and story-telling sessions based on pictures that were presented to them.

Whether in reconstructing narratives from memories of lived experiences or in creating new tales for characters in their stories, the participants selected and con-

veyed narratives that were indicative of abuse, violence, and suffering. This repetition of certain information emanating from the participants' memories defined and described their narratives. Understanding such field texts required a co-construction process based on familiarity with the field of research. Understanding violence starts from reading between the lines of what appears on tape in interviews and stories. The facts that are explicitly stated, though, helped me to categorize various expressions of violence. These categories may help lend meaning to the violence the participants recreate for their characters and elucidate the way they understand it in their own experiences.

Violence renders characters helpless. They protest but without support often succumb to the adversities in their environment. It entraps them:

"He started to hit his son, saying that he should work. The son protested, since his sister was studying, and said that he wanted to study too. He did not want to go to work. But the father hits him again and forces him to work. The son is frustrated and sad. He isn't being allowed to do what he likes. He is not being allowed to gain an education or obtain a sense of security. The parents are forcing the son to do what they want. The boy weeps and cannot communicate." (Participant A, story, excerpt)

The voice of the protestor surfaces but is silenced by authority—the father in this case. The "son is frustrated and sad" but has no resources that might extend support to him. In another story, the narrator finds his character equally distressed.

"One day, the boy was sent home from school for not being able to pay the fees. He went to his father and requested that he pay the fees. The father said that he had no money and that all the money was gone. The boy had to leave school. The boy requested that the father take up a job and help support the family. The father slapped the boy and said, "Why should I work? You will work." And he sent the boy to a brick factory. The boy got 1000 or 2000 rupees a month. The father would snatch all the money from the boy and not even let him eat properly. The father would eat first and then give the leftovers to the son. The son was falling ill from not eating." (Participant B, story, excerpt)

#### **Death of Aspirations**

In the face of discouragement, constant pressure, and abuse, the protestor's voice is silenced. There is not only a metaphorical annihilation in terms of communica-

tion but also a physical extinction in certain cases. This reiterates the sense of seclusion, isolation, and neglect that the participants often mention in the process of their interviews, too. Not many are willing to listen or interact, and possibly the protestor may stop protesting altogether.

In the case of the first example, the narrator ends the story by saying, "He cries and declares finally that he will work, for his sister's sake. He goes to work." (Participant A, interview, excerpt). The voice of the character of the child in the story the protestor—is dead. Their voice is possibly just as dead as their aspirations to study. The lack of voice eventually becomes the loss of voice.

"My parents have a fight between themselves. My mother works even in the night. My father comes in the morning and fights with her for money. He gambles and is unemployed. He comes home, eats, gambles, snatches money from my mother, and goes away. He does not let my mother sleep and hits her. I have tried so much to explain to him, but he will only beat me up. When I was seven, he hit my mother so badly that her skull cracked and was bleeding. I tried to stop him, but he slapped me so hard that I fear him from that day." (Participant B, interview, excerpt)

Reconstructing the experience, the participant mentions the atrocities and the efforts made to check them by trying to convince the father against torturing the mother but is beaten up in response. It seems that there is no one to whom the participant can reach out for support, none that is consciously available in the participant's mind at least. In the lack of support and a sense of helplessness, there arises the emotion of fear, which eventually swallows the participant's voice in expression.

#### **Perpetuation of Violence**

In some cases, the expression of violence as a 'should' is also prevalent. This norm is in keeping with a social code of disciplining the child, for example: "If I were to choose between the father and the son, I would want to play the role of the father. I will have to become a father once I get married. I am hitting the boy because he doesn't listen to me. I asked him to get a bucket from the market but he ran away." (Participant C, story, excerpt)

It is as if, just like the character who feels he "will have to become a father" once he gets married, he will "have to" hit his children to help them learn. Another participant tells in a story, "If I were to play the role of the father, I would hit my

son, too. I would hit him, if there is a need, to get things done. Sometimes it is important to hit, or else children become disobedient."

Very few cases look at violence through the lens of characters that resort to violence for the sake of it or for the fun of it. "There are some people who only need a trivial reason to pick up fights. They are always ready to fight." (Participant D, interview, excerpt) The readiness to fight seems to be reason enough for a fight as well. Another participant says in a story, "And this older boy is now hitting the younger boy. The older boy just feels like it. The reason could be anything—he just feels like beating up this boy, or he may just feel like creating trouble. If he creates trouble, he will have fun." Few in number, but qualitatively significant, is the con-

cept of characters deriving pleasure from the troubles they create (usually for others). "We might wonder why the man should hit the boy at all; but the man might be enjoying this act of hitting a boy." (Participant E, story, excerpt).

Succumbing to violence, whether as oppressor or oppressed, is the dominant pattern in most plots. The characters suffer physically through beatings, psychologically through trauma, and emotionally through frustration and anxiety. The characters' sufferings could be reflective



Children celebrating Durga Puja, the biggest festival of West Bengal, where the author conducted interviews for her research.

of the participants' own suffering, including from the inability to choose more positive alternatives, even in the realm of imagination. I do not present these patterns as predictions about individual development or social adaptation. I only propose that these constructions be regarded as voices that demand understanding and keen attention. There must be an attempt to understand what the narratives mean to the ones making them. In the case of my research, an attempt has been made to understand the meaning of violence as a first step in understanding the environments within which these children live and grow.

#### Is Schooling Synonymous with Learning?

In the recent past, the field of child development has been focusing on approaches to promote sustainable growth. While social theories and large-scale data have been constructively prevalent in India, so far, very little attention has been paid to children's development from the perspective of understanding the child's mind,

emotions, and imagination. Well-meaning programs and policies have been formulated to accelerate growth in adverse conditions. In education, for example, programs have been introduced aimed at improving learning, such as a mid-day meal and free schooling until a certain age to promote education, especially for families in economically deprived conditions.

However, children may not necessarily equate schooling with learning. For example, in the course of data collection, some of my participants said that they would like to go to school, knowing that education is important, but also admitted that they are more interested in either the mid-day meal or in playing on the large school grounds from a lack of space in their own homes or their neighborhoods. The mid-day meal might thus help improve attendance at school but may not help improve learning. If school for these children means food and a place to play, then education will not be their first synonym for school. This is the dimension I hope to elucidate.

Such meanings will become clearer and more audible when the children are allowed to express and speak their minds. But sometimes, their realities are too difficult to share, even if they want to share them. In other parts of my research I have, therefore, analyzed the techniques of narrative construction as a means of expression and as clues to the understanding of the child. Henceforth, it would be interesting to explore and analyze the realm of the imagination and the use of it by children to renegotiate with their realities (or, maybe, to chance upon a completely new understanding). May 20, 2013

# Japan's Ratification of the Rome Statute of the International Criminal Court

#### Salla Garský

Salla Garský,<sup>1</sup> a Sylff fellow at the University of Helsinki, used her Sylff Research Abroad (SRA) award to research the process of Japan's ratification of the Rome Statue of the International Criminal Court (ICC). She presents an objective explanation of why Japan's ratification was prolonged until 2007 after voting for the Statue in 1998.

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he Rome Statute creating the International Criminal Court (ICC) was adopted in 1998 by 120 countries, including Japan. Since 2002, when the Rome Statue came into force, the ICC has been a permanent and independent institution. Its establishment was a historical achievement that permanently conferred jurisdiction to punish the masterminds behind heinous crimes, including genocide, war crimes, crimes against humanity, and the crime of aggression. No one who commits these crimes will thus be able to escape the consequences.

However, the power of the ICC depends entirely on member states because it

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<sup>&</sup>lt;sup>1</sup> I want to thank the Tokyo Foundation for making my research in Japan possible. I also wholeheartedly thank my Japanese advisor, Professor Mariko Kawano of Waseda University's School of Law, for allowing me to visit her institution and for her warm and most helpful guidance with my research in Japan. I am also grateful to Professors Shuichi Furuya (Waseda University), Akira Mayama (Osaka University), Osamu Niikura (Ayoama Gakuin University), and Hideaki Shinoda (Hiroshima University) for discussing and sharing their experiences regarding Japanese policy on the ICC with me and Keita Sugai (Tokyo Foundation) for his helpfulness. Furthermore, I am indebted to the Embassy of Finland in Tokyo, in particular Ambassador Jari Gustafsson and First Secretary Jukka Pajarinen, and the Delegation of the European Union to Japan. Lastly, I want to thank Juha Hopia, Suvi Huikuri, Sergey Kryukov, Riikka Rantala, and Asaka Taniyama for making my stay in Japan unforgettable. Unless otherwise mentioned, the opinions expressed in this paper are solely my own.

has no resources of its own to make arrests and is financed by the state parties. Therefore, it is important to study the ratification process of the Rome Statute and explore potential obstacles for states' decision to join the ICC.

Japan acceded to the Statute fairly late. While most ICC member states had ratified it by 2003, Japanese ratification did not come until July 2007<sup>2</sup>. The objective of my research in Japan was to gather empirical evidence to answer the question: Why did it take almost 10 years for Japan to join an institution that it presumably supported from the beginning? Literature on Japan's accession to the ICC has thus far focused on the legal aspects<sup>3</sup>. My research is aimed at contributing a political aspect to this



Salla Garský, in front of the Okuma Auditorium at Waseda University's main campus.

literature by analyzing different political motivations behind the ratification process. This short article discusses some of the findings of my research in Japan.

Although I am interested in the political aspects of the ratification process, it is impossible to deny the role of the legal aspects. When countries consider joining the ICC, amendments to national laws are usually necessary. The Japanese legal system is a mix of civil and common law, with civil law characteristics, adopted from the German legal system, dominating the system<sup>4</sup>. Japan's ratification of the Rome Statute required the deliberation of three main legal issues.

First, Japan had to consider whether and how to accommodate the crimes un-

<sup>&</sup>lt;sup>2</sup> United Nations Treaty Collection, "Status of Treaties," Multilateral Treaties Deposited with the Secretary-General, 2012. Available at: <a href="http://treaties.un.org/Pages/Participation-Status.aspx">http://treaties.un.org/Pages/Participation-Status.aspx</a> (visited March 8, 2013).

<sup>&</sup>lt;sup>3</sup> Kyo Arai, Akira Mayama, and Osamu Yoshida, "Accession of Japan to the International Criminal Court: Japan's Accession to the ICC Statute and the ICC Cooperation Law," Japanese Yearbook of International Law, 51 (2008): 359–383; Kanako Takayama, "Participation in the ICC and the National Criminal Law of Japan," *Japanese Yearbook of International Law*, 51 (2008): 348–408; Yasushi Masaki, "Japan's Entry to the International Criminal Court and the Legal Challenges It Faced," *Japanese Yearbook of International Law*, 51 (2008): 409–426; Jens Meierhenrich and Keiko Ko, "How Do States Join the International Criminal Court? The Implementation of the Rome Statute in Japan," Journal of International Criminal Justice, 7/2 (2009): 233–256.

<sup>&</sup>lt;sup>4</sup> Veronica Taylor, Robert R. Britt, Kyoko Ishida, and John Chaffee, "Introduction: Nature of the Japanese Legal System," *Business Law in Japan*, 1 (2008): 3–8; CIA, The World Factbook: Legal System, March 5, 2013. Available at: <a href="https://www.cia.gov/library/publications/the-world-factbook/fields/2100.html">https://www.cia.gov/library/publications/the-world-factbook/fields/2100.html</a> (visited March 8, 2013).

der the jurisdiction of the ICC with the national Criminal Code, which is very specific and, as such, takes time to amend. As Arai et al. point out, Japan decided not to amend the Criminal Code because almost all crimes under the ICC's jurisdiction, with a few, rather irrelevant exceptions, are already covered by Japanese laws.<sup>5</sup>

As Meierhenrich and Ko elaborate, another legal issue, related to the jurisdiction of the ICC, was Article 9 of the Japanese Constitution: "Aspiring sincerely to an international peace based on justice and order, the Japanese people forever renounce war as a sovereign right of the nation and the threat or use of force as means of settling international disputes. In order to accomplish the aim of the preceding paragraph, land, sea, and air forces, as well as other war potential, will never be maintained. The right of belligerency of the state will not be recognized."<sup>6</sup>

Because of this paragraph, legislating war-related laws was initially complicated, as this would imply the hypothetical possibility of Japan engaging in war-re-

lated activities. This obstacle, however, was overcome in 2004, when the Diet adopted a package of emergency legislation that enabled Japan to ratify the 1977 Additional Protocols of the Geneva Conventions.<sup>7</sup>

The last important legal issue was cooperation with the ICC, which Japan resolved by adopting the ICC Cooperation Law, consisting of 65 articles<sup>8</sup>. Altogether, the elaborate legal review of national laws and the Rome Statute, as well as the preparation of the ICC Cooperation Law, slowed down Japan's accession to the ICC.

Besides legal questions, according to the interviews I conducted in Japan, the US policy on the



The Peace Bell, which Salla rang on her trip to Hiroshima.

ICC also delayed ratification. While the Bill Clinton administration was not enthusiastic about the ICC, the George W. Bush administration was openly opposed, starting a global campaign against the ICC and not hesitating to voice its dismay

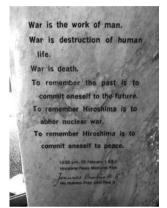
<sup>&</sup>lt;sup>5</sup> Arai, Mayama, and Yoshida, "Japan's Accession," p. 365ff.

<sup>&</sup>lt;sup>6</sup> The Constitution of Japan, November 3, 1946. Available at: <a href="http://www.kantei.go.jp/foreign/constitution\_and\_government\_of\_japan/constitution\_e.html">http://www.kantei.go.jp/foreign/constitution\_and\_government\_of\_japan/constitution\_e.html</a> (visited March 8, 2013).

<sup>&</sup>lt;sup>7</sup> Meierhenrich and Ko, "Rome Statue in Japan," p. 237ff.

<sup>&</sup>lt;sup>8</sup> Takayama, "Participation in the ICC," p. 388.

about the institution in bilateral and multilateral forums<sup>9</sup>. Since the United States is Japan's most important ally, this US policy affected Japan's willingness to join the ICC. The US opposition against the ICC started to ease after 2005, though, when the UN Security Council referred the situation in Darfur to the ICC. Shortly thereafter, Japan started to consider ratifying the Rome Statute.<sup>10</sup>



Words of Pope John Paul II to the people of Hiroshima, which have been the beacon guiding Salla's research.

Another aspect that delayed Japan's ratification of the Rome Statute was money. Due to its high gross domestic income, Japan was slated to become the main contributor to the ICC. Article 117 of the Rome Statute, defining the assessment of the contribution, left some room for interpretation, and Japan initially calculated that its contribution to the ICC would be 28% of the total budget.

Japan wanted to apply the UN ceiling of 22% to its ICC contribution, but the European Union hesitated to accept the proposal. Eventually, the ICC Assembly of States Parties approved the 22% ceiling, and ratification began to materialize.<sup>11</sup>

To conclude, unlike the European countries, most of which wanted to join the ICC quickly in order to show

their support for the new Court, Japan was not in a hurry to ratify the Rome Statute. Rather, Japan wanted to wait and see how the newly established ICC would develop before it joined. In general, there was not much political pressure in Japan to join the ICC, but the UN Security Council's referral of the Darfur case to the ICC clearly had a positive influence on Japan's decision.

<sup>10</sup> Masaki, "Japan's Entry to the ICC," p. 418ff.

<sup>&</sup>lt;sup>9</sup> John R. Bolton, "Letter to UN Secretary General Kofi Annan," *Digest of United States Practice in International Law 2002*, Sally J. Cummins and David P. Stewart, eds., 148–149, Office of the Legal Adviser, United States Department of State (Washington, D.C.: International Law Institute, 2002); H.R. 4775, Title II, American Service-Members' Protection Act (Washington D.C.: Congress of the United States of America, January 23, 2002); H.R. 4818, Consolidated Appropriations Act, 2005. Washington D.C.: Congress of the United States of America, January 20, 2004; Human Rights First, "U.S. Threatens to Cut Aid to Countries That Support the ICC," December 7, 2004. Available at: <a href="http://www.iccnow.org/documents/HRF\_Nethercutt\_07Dec04.pdf">http://www.iccnow.org/documents/HRF\_Nethercutt\_07Dec04.pdf</a> (visited March 8, 2013); John R. Bolton, "American Justice and the International Criminal Court: Remarks at the American Enterprise Institute," Washington, D.C., November 3, 2003; Philip T. Reeker, "Press Statement: U.S. Initiative on the International Criminal Court," U.S. Department of State, June 13, 2000.

<sup>&</sup>lt;sup>11</sup> Ibid., p. 415ff.

The impact of the Jun'ichiro Koizumi administration on the ratification process has not yet been researched in depth, and this will be the subject of my future research. Tentatively, the delay in ratification can be explained in terms of the Japanese way of dealing with international treaties, which was described in many of the interviews I conducted.

Today, Japan is an active member of the ICC, and one of the Judges, Kuniko Ozaki, is Japanese. I hope that in the future, Japan will start to actively promote the ICC in Asia, as the region is clearly underrepresented in the organization.

April 15, 2013

# **Qualitative Research as a Collaborative Enterprise**

How I Learned from Other People's Experience and Developed as an Interviewer

#### **Paulina Berrios**

Paulina Berrios, a doctoral candidate at the State University of New York, Albany, and a Sylff fellowship recipient at the University of Chile, shares the experiences of her field research (conducted with a Sylff Research Abroad award), during which she interviewed a number of part-time professors at Chilean universities to understand what they do inside and outside the classroom.

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The research process is itself a learning process. You discover new facts, identify new relationships among variables, and realize the many implications that the focus of your study can have on reality. On the other hand,

you also come to master research skills that will be long lasting. As a research project usually involves many people and often multiple institutions, you also have an opportunity to network, which is an important skill to develop over time. My experience researching abroad fits this learning process too.

Currently pursuing my PhD in educational administration and policy studies with a concentration in higher education at the State University of New York at Albany, I went to Chile—my native country—to collect data for my dissertation. This research project deals



Paulina Berrios at the library of the State University of New York, Albany.

with the academic work of part-time professors at universities in Santiago, Chile, and how institutions treat, value, and regulate their academic work.

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The purpose of my research abroad was to conduct in-depth interviews with both part-time professors and university administrators. Having to conduct at least 60 interviews taught me many lessons. Among the most important were that qualitative research is a collaborative enterprise and that the skill of interviewing develops during the research process.

#### Focus of My Research

The research for my dissertation pays special attention to what part-timers do inside and outside the classroom in Chile, a country where part-time professors have a predominant presence at both public and private institutions of higher education. In addition, my study asks the question: What is the academic work of part-time professors? Because this is conditioned by many variables, an exploration of the academic work of part-time professors needs to be seen through multiple perspectives. By bringing together sociological, historical, and organizational perspectives into the analysis of part-time professors, research can be conducted that will help elucidate how institutions, organizational arrangements, national contexts of higher education, and individual dimensions like gender and age condition the academic work of part-time professors.

#### Research Hypothesis

Researchers have found that US part-time professors engage mostly in teaching activities (NCES 2002; Kezar 2012) and that they teach an average of 1.6 undergraduate classes and 0.2 graduate courses (NCES, 2002). So, I started by assuming that even though the data is for the United States, the Chilean case will not be dramatically different. In other words, I hypothesized that teaching, and more specifically, undergraduate teaching, would represent the main chunk of the academic work of the part-time professors at sampled Chilean universities. However, given the literature on differentiation in higher education, I expected that patterns would vary by both system factors, such as academic discipline and professional field, and individual factors like gender and age.

#### Selection of Cases

Regarding the selection of institutions for the fieldwork, geographical location and range of academic programs were the two main criteria. As a result, nine academic programs at five universities were selected. Specifically, these five universities were

of three different types: research universities (Universidad de Chile, Universidad de Santiago, and Pontificia Universidad Catolica), a selective, large private university (Universidad Nacional Andres Bello), and a nonselective, large private university (Universidad San Sebastian). The nine academic and professional programs selected were mathematics, chemistry, sociology, history, education, engineering, nursing, odontology, and architecture.

#### **Preliminary Findings**

As for the major findings, to a certain degree, the academic work of part-time professors in Chilean universities matched the literature on this topic worldwide: Generally, part-time professors focused on teaching, but the teaching was executed differently, depending on the academic or professional program. Their work was also treated very differently by the various academic departments and schools. One manifestation of this differential treatment was the salaries offered to part-time

professors; another was the institutional mechanisms introduced as incentives to retain part-time professors.

My research at Chilean universities revealed that some academic departments and professional schools were highly dependent on their part-time professors. Although their employment was not secured, part-time professors at these universities were offered very good salaries and incentives for their teaching ser-



Andrés Bello National University

vices. As this study was not intended to be representative of the Chilean higher education system as a whole, these findings pertain only to the types of institution that were selected for this study, namely, public research universities and both elite and serious private universities.

#### The Researcher and the Fieldwork

In a qualitative study such as mine, collaboration proved to be critical. This is not to say that other types of research (e.g., quantitative) do not engage in collaboration, but in my case I could not have achieved all I did in the field without having both institutional support and good advice from relevant actors.

#### Good Advice Makes a Difference

Reality is not always what you expect. When engaged in the field, I found that what I learned about my research topic—that part-time professors are invisible to many—had a practical manifestation: When trying to contact part-time professors for interviews, I realized that they were hard to reach, since their contact information was not easily available. Information for full-time professors could be found

by just navigating a university's or department's website, but this was not always the case for part-time professors. While I had some initial success in making connections with part-time professors, I realized that I would not reach my goal if I continued trying to contact them on my own.

So I asked a Chilean professor, who is a member of my dissertation committee, for advice. He suggested that in order to deal with the logistics issue, I



Paulina attended a higher education seminar at the Center for Research on Educational Policy and Practice.

should change my strategy and consider a top-down approach. I thus decided to establish contacts first with department chairs and deans at the selected universities and academic programs to not only learn how institutions manage, evaluate, and monitor the academic work of part-time professors but also obtain a list of potential interviewees. This turned out to be very good advice, as I was able to interview department chairs and deans for my study and, at the same time, gain their trust. This also enabled me to receive additional information, such as institutional documents that facilitated access to additional participants. The good advice made a big difference, turning potentially discouraging and unsuccessful fieldwork into a very positive experience. In the end, I was able to conduct not 60 but 70 interviews!

#### Support Is Critical

Carrying out qualitative research is costly in terms of time and economic resources. As the process of collecting data is time consuming, and in my case, I had to travel to another country in which meant I had to invest significant resources and get support from others. Thanks to the Tokyo foundation's SRA program that provides support for academic research related to doctoral dissertation in a foreign country, I was able to plan a 13-weeks stay to conduct my fieldwork in Chile.

However, after engaging in my fieldwork, it became obvious that the original allotted time of 13 weeks was too ambitious, which led me to extend my time in the field to 35 weeks. Because of this unexpected turn, I had to talk with the many people who were supporting my research and get from them not only their consent but also their support to keep moving forward in my research despite the hardships encountered along the way. Fortunately, at the end of the process, I was able to achieve successfully my field work's goals thanks to the institutional support given by the SRA program, my sponsor and fieldwork supervisor –Dr. Rosa Deves- at Universidad de Chile, my committee member professor –Dr. Andres Bernasconi- at Pontificia Universidad Catolica, my institutional liaison at Universidad San Sebastian –Vicerector Gonzalo Puentes-, and my academic advisor –Dr. Daniel Levy-from the State University of New York at Albany.

#### The Interviewing Experience

Learning from others can be a priceless and unforgettable experience. As I traveled far to explore what Chilean part-time professors do inside and outside the university classroom, I gained a deeper understanding of what these professors do and



San Sebastian University

what motivates them to work part-time in higher education. And while interviewing university administrators, it became clear why they were employing these part-time professors and how much they relied on them. In some cases, part-time professors were regarded with such high esteem that I wondered if this was the case in other countries as well.

My research also helped me to master the skill of interviewing. Can you imagine trying to interview someone who does not know anything about you but just the topic of your research? Even more, how would it feel when your interviewee sits down in front of his or her computer and does not pay any attention to you? It can be very hard to get started indeed!

During my first interviews, it was difficult to deal with people I did not know, not to mention how nervous I was! But as I kept interviewing, I learned how to grab the attention of the interviewee from the outset and, more importantly, how to gain their trust about the seriousness of my research.

People are often very busy, and they want to know immediately how they were chosen for the interview; sometimes it is hard to break the ice. So, in some ways an interview is a performance from the very first moment you greet your interviewee to the minute you end the conversation. Moreover, the performance needs to be executed in a transparent manner so that you gain the trust of your interviewee and makes him or her willing to collaborate with your research and respond with valuable information to your questions. People are curious about you, so sometimes you have to talk about yourself as well. It is a two-way exchange, and as an interviewer you have to be open to the needs of the participants too.

Finally, the fieldwork evolved from being almost impossible to achieve and highly exhausting to execute (interviewing 70 people meant I had to contact many more people!) to a completely satisfying endeavor with a strong sense of accomplishment. Without doubt, it was an experience that I would recommend to anyone planning to conduct qualitative research. If you are one of them, good luck with your future endeavors! As for me, I now have to start writing and analyzing all the rich data I have managed to collect in the field.

### Armed State-Response to Internal Ethnic Conflict in Sri Lanka

#### Sreya Maitra Roychoudhury

Sreya Maitra Roychoudhury, a Sylff fellow at Jadavpur University in India, conducted research in Sri Lanka using a Sylff Research Abroad (SRA) award. The purpose of her research was to observe the realities in Sri Lanka and deepen her insights into the "securitization" of two armed states–India and Sri Lanka–which is the central theme of her dissertation. Her report below makes clear that the purpose of her research was fulfilled and that the visit to Sri Lanka has become an important asset in writing her dissertation.

\* \* \*

arrived in Colombo, Sri Lanka, on November 1, 2012, for a field trip essential for my doctoral dissertation, which examines the historical causes and the implications of armed state responses to select internal ethnic conflict situations in India and Sri Lanka and critically analyses their efficacy.

I have been fortunate to receive mentoring and support at Jadavpur University, India, where I also had the opportunity to apply and be selected for a Sylff Re-

search Abroad award from the Tokyo Foundation at a very opportune moment of my PhD research. This was not only because my nascent ideas on state approaches to insurgency very much demanded the filling in of ground-level realities but also because Sri Lanka is currently at a very critical juncture of its political history.

National security and socio-political stability can be significantly undermined by



The University of Colombo , which hosted Sreya Maitra Roychoudhury during her field research.

Sreya Maitra Roychoudhury Sylff fellow, 2007, Jadavpur University. Currently a doctoral student in the Department of International Relations, Jadavpur University.

violent internal conflict or insurgency in any country. While authoritarian regimes unilaterally use their military to combat such challenges, modern democracies have historically sanctioned the deployment of armed forces on a short-term basis only by declaring them as "emergencies." Within the purview of international relations, the latter approach has been delineated by the "securitization theory" à la the constructivist paradigm founded by the Copenhagen school.

India and Sri Lanka have labored to establish consolidated democracies in South Asia, never experiencing any spell of total military rule or a civil-military regime, unlike some of their neighbors. Multi-ethnic democracies are expected to handle internal conflicts with the structural norms and practices of a democratic order. India and Sri Lanka have behaved exceptionally and tackled these by active securitization through much of the post-independence period.

Existing literature does not highlight the reasons for the continuance of conflict zones, and there is hardly any comparative empirical work on the subject. Moreover, insecurities and rebellions persist in most cases, like in India's Northeast, Jammu and Kashmir, and, until 2009, in Sri Lanka. Additionally, due to India and Sri Lanka's geographic contiguity and ethnic overlap, the impact of Sri Lanka's internal conflict has been deeply left by India.

The deployment of the Indian Peace Keeping Force in 1987 and its subsequent failures, together with the cross-border operations of the Liberation Tigers of Tamil Eelam and the assassination of Indian Prime Minister Rajiv Gandhi in 1991, have created mistrust, inducing excessive caution in bilateral interactions.

During my month-long stay and extensive interaction with the intelligentsia, activists, and local population in Colombo, I came across a society that has suffered deep scars in its socio-political and economic fabric due to the prolonged war of the state against an ethnic community. However, it was also stated by many quite unequivocally that any challenge to the sovereignty of the state—democratic or authoritarian—must be legitimately resisted with the sanction of force and the armed machinery of the government. Detailed studies and opinions have revealed that the unyielding stance of the leaders of the separatist group precluded any scope for meaningful, peaceful reconciliation.

In the present situation, Sri Lanka has transcended war but not the conflict situation, as underlying grievances of the Tamil community continue to simmer. While ground-level opinions, observations, and reports substantiate the argument that the heavy-handed securitization approach of the state has combated militancy and terrorism with unprecedented success, it is quite clear that it also has further fragmented the already linguistically divided society, alienating the minority Tamils and establishing a "Sinhala state."

The field trip was significant in enabling me to collect primary data to corroborate the historical-sociological approach I had chosen for my study to gain an in-depth, comprehensive understanding of a seemingly terrorist-political problem in Sri Lanka. The instrumental role played by the monopoly of the Sinhala language in consolidating ethnic fissures is a much observed phenomenon in Sri Lanka's history and politics.

The field trip rendered an unmediated exposition into the incremental unfolding of this phenomenon by the ruling political leaders through the turbulent decades (especially the late 1950s, 1960s, and 1970s) and the subsequent, almost obvious deepening of the majority-minority ethnic divide, the virulent manifestation of which was the Tamil demand for secession and autonomy espoused by violent outfits like the LTTE.

The sole documentation of much of the parliamentary debates and official proceedings under the presidency (since 1976) in Sinhala and the conspicuous absence of their translation in English and Tamil languages at the National Archives of Colombo was, to my mind, a significant indicator of the calculated steps taken by the ruling elite to use "language hegemony" in asserting Sri Lanka as a Sinhala



At the National Archives of Colombo.

state, thereby fuelling the ongoing ethnic politics of the times.

Moreover, the informal and formal interactions at the local level rendered it quite evident that even in postwar Sri Lanka, the most sympathetic Sinhala vis-à-vis the Tamil autonomy movement would not voice any explicit statement against the present process of increasing the geographic isolation of the Tamils in the northern and eastern provinces and the conscious effort to maintain the presidency's direct control over them by abstaining from establishing functional Provincial Councils.

To my mind, the potential for renewed conflict between communities cannot be ruled out, much less

so because of a strong Tamil diaspora that continually foments a sense of marginalization. Any meaningful resolution of the internal conflict situation thus requires fundamental changes in the constitution to include greater accountability of the president, the devolution of power to Tamil representatives at the local level, and the rebuilding of a sense of trust between the ethnic communities that have been brutally eroded and lost in the ravages of the war and the unilateral, authoritarian style of governance.

While the operational political systems of India and Sri Lanka differ (parliamentary versus presidential system), they could actively engage through common multilateral forums like the South Asian Association for Regional Cooperation (SAARC) to articulate state responses beyond securitization measures that can be implemented to resolve their respective insurgencies on a sustainable basis.

Even though Sri Lanka is a consolidated, democratic nation in South Asia, my field trip rendered stark the realities and nuances of administrative functioning that transpires in a presidential system, as compared to the parliamentary model of India. Divergences in the operational political realities of Sri Lanka, issues in the functions of the constitution, and aspirations of the people were rendered clear only in the course of my studies at the local level. Other interesting and related facets of society like education, community development, and the changing role of the military in postwar Sri Lanka also became vivid, providing a comprehensive overview.

Being an endowed fellow, the credibility of my research was instantly recognized by the interviewees and interested researchers and students.

My research is focused on providing a systematic explanation for the war that prevailed, prescribe ways to avoid the military option on a prolonged basis, and guarantee basic human rights and security to citizens. The insights I gained on the Tamil separatist movement in Sri Lanka also helped me to build a comparative study of armed approaches to insurgency in two democracies, keeping in mind the differences in their operational dynamics.

I also seek to explore possible state responses beyond the military option that can be implemented by the democratic, multi-ethnic countries of India and Sri Lanka to resolve their respective insurgency issues on a sustainable basis. This would hopefully enhance bilateral ties and move regional peace keeping initiatives in South Asia a step forward.

#### LIST OF SRA AWARDEES AND RESEARCH TOPICS IN 2013

For details about Sylff Research Abroad, see http://www.tokyofoundation.org/ sylff/support\_programs/sra.

Awards in Fiscal 2012 (Second Round)

- Joao Brito (2006–07, University of Coimbra), "Determinants of Economic Growth in Small Countries," conducted at the University of California, San Diego (USA)
- Yun Chen (2010, University of Michigan), "Essays on International Mortgage Markets," conducted at the University of Melbourne (Australia)
- Ilona Dubra (2011–12, University of Latvia), "The Evaluation of the Influence Factors on Japan Enterprises Innovation Activity," conducted at Waseda University (Japan)
- Inese Grumolte (2010, University of Latvia), "The Political Role and Responsibility of Intellectuals: Rainis, Fanon and Havel," conducted at the Free University of Berlin (Germany)
- Myra Ann Houser (2012, Howard University), "Responses to Anti-Semitism in Pre-World War II South Africa," conducted at the University of Western Cape (South Africa)
- Didzis Klavins (2012, University of Latvia), "The Transformation of Diplomacy," conducted at Aarhus University (Denmark)
- Lina Klemkaite (2008–09, University of Deusto), "How to Re-Tell a Story that Has Been Already Told by the Others?" conducted at the University of California, San Diego (USA)
- Jakub Morawski (2011, Jagiellonian University), "Predeleuzian and Post-Deleuzian Film Theory: From Ontological and Epistemological Towards Political and Philosophical Theories in Film Studies," conducted at Columbia University (USA)
- Abdul Samad Mukati (2011, Howard University), "Identifying Core Vocabulary for Urdu Language Speakers Using Augmentative Alternative Communication," conducted at Zia Uddin University (Pakistan)
- Thien Vinh Nguyen (2010, Columbia University), "Ghana and Oil: Understanding Societal Change from the Urban Poor's Perspective," conducted at the University of Ghana Legon (Ghana)
- Adam Puchejda (2011, Jagiellonian University), "Theatre as a Vehicle of Social

Change? On the Margins of the Sylff SRA Project Entitled 'Ludwik Flaszen as an Intellectual and the Transformations of the Polish Post-War Public Sphere (1945-1989),'" conducted at Sciences PO (France)

- Debora Eflina Purba (2001–02, University of Indonesia), "Business-Owners' Embeddedness," conducted at Ohio University (USA)
- Anindita Roy (2012, Jadavpur University), "Voicing Violence: Constructing Meaning from Narratives by Children in Red-Light Districts of South Kolkata," conducted at the University of Bath (UK)
- Laurie Trautman (2012, University of Oregon), "National Policy in the Local Context: Exploring the Influence of "Guest Workers in Fernie, British Columbia," conducted at the University of British Columbia (Canada)
- Meta Weiss (2011, Juilliard School), "Is There a Link between Music and Language? How Loss of Language Affected the Compositions of Vissarion Shebalin," conducted at the Moscow Conservatory (Russia)
- Anna-Esther Younes (2011, GIIDS), "Disciplining the White Other and the Figure of the Jew," conducted at the University of California, San Diego (USA)

Awards in Fiscal 2013 (First Round)

- Alice Beban (2007, Massey University), "Gender Dimensions of Land Reform in Cambodia," conducted at Pannasastra University (Cambodia)
- Gamze Nazan Bedirhanoglu (2005, Ankara University), "Intellectual Property Policy Making Processes: Divergent Global Positions of Middle-Income Countries," conducted at GIIDS (Switerland)
- Kujtese Bejtullahu-Michalopoulos (2011, GIIDS), "The Discourse on Liberty in the Modern West," conducted at the American Antiquarian Society (USA)
- Shyamasree Dasgupta (2011–13, Jadavpur University), "Response of Indian Industries to Sustainability Goals: An Economic Analysis with Special Reference to National Action Plan on Climate Change," conducted at the University of Maryland (USA)
- Arve Hansen (2013, University of Oslo), "Cars and Capitalism in Contemporary Hanoi," conducted at the Vietnam Academy of Social Sciences (Vietnam)
- Piotr Kalicki (2010, Jagiellonian University), "People of the Fog: Human Response to Climate Change in the Late Pre-Colombian Andes. A Case Study from the Lachay-Iguanil Region," conducted at the Pontificia Universidad Catolica del Peru (Peru)

#### LIST OF SLI AWARDEES AND PROJECTS IN 2013

For details about Sylff Leadership Initiatives, see http://www.tokyofoundation.org/ sylff/support\_programs/sli.

- Nashon Ogolla Otieno Aluoka (1999, University of Nairobi), "From Promise to Reality: The Kisumu County Leadership Conference," Kenya
- Sennane G. Riungu (2006–07, University of Nairobi), "Leading the Leaders: A Forum for Local Youth Leaders in Maara Constituency," Kenya
- Carl-Emmanuel Fisbach (2010, Paris Conservatoire), "The Musician-Citizen, Participative Music-Making in Disadvantaged Areas and Pedagogical Training for Saxophonists," Lima, Peru
- Sherilyn Tan Siy (2004–06, Ateneo de Manila University), "Our Community, Our Resources: Increasing Food Security," Philippines

October 24, 2013

# Jadavpur University Celebrates "10 Glorious Years"

The Tokyo Foundation

J adavpur University is one of the youngest members of the Sylff community, becoming the sixty-seventh institution to receive an endowment in 2003. Yet it has been one of the most successful in embodying the vision and mission of the global Sylff program.

Sylff fellows from Jadavpur University have been characterized by their compassion, enthusiasm for helping others, and openness to new ideas—all of which are necessary in a social leader. Many Jadavpur fellows have addressed the needs of less privileged groups, such as by promoting women's rights, examining the cycle of violence among children growing up in red-light districts, and supporting the academic endeavors of civil war victims. The JU fellow's association makes collective visits to leprosaria out of a desire to help the patients. And JU fellows have been among the handful of Sylff Research Abroad grant recipients during every application period, eager to incorporate new ideas from foreign sources into their research.

On September 24, 2013, the university celebrated 10 successful years of the



Jadavpur fellows, past and present, gathered for the ceremony.

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Sylff program with a ceremony attended by more than 100 people, including Vice-Chancellor and Chairperson of the Sylff Steering Committee Professor Souvik Battacharyya, members of the Sylff Steering Committee, Chairman Yohei Sasakawa of the Nippon Foundation, current and past Sylff fellowship recipients, and other distinguished guests.



(From left to right) Professor Roy describes the university's endeavors in nurturing innovative leaders; Mr. Sasakawa, telling fellows to embrace challenges ahead of them; Mari Suzuki congratulates Jadavpur on 10 successful years.

Professor Joyashree Roy, who has guided the Sylff program since its inception at Jadavpur as project director, welcomed the guests, pointing out that the program has strived to nurture innovative leaders for social change in India and around the world over the past decade.

Mr. Sasakawa, who has long been actively engaged in ending the social stigma faced by leprosy patients, noted that he was heartened by the Sylff Association's grassroots activities, such as visits to leprosaria, because they can become signifi-



The JU Sylff Association issued a special 10th anniversary newsletter, the enlarged cover of which is held up by Mr. Sasakawa and Vice-Chancellor Battacharyya.

cant forces for change in society and in people's thinking. He shared stories from his "winding journey" in his decades-long fight against leprosy and discrimination, telling the fellows: "Welcome the twists and turns, the dead ends and detours that come your way because they are what will help you discover the true essence of the challenges that lie ahead."

Mari Suzuki, the Tokyo Foundation's director for leadership development, congratulated Jadavpur's success in nurturing broad-

minded leaders through the program.

The ceremony was organized by the Jadavpur University Sylff Association. During the ceremony, the Association distributed a tenth anniversary special edition of its annual newsletter and aired a video titled "JU-Sylff: The Journey So Far

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2003-2013," that it produced, showcasing the history of the Sylff program at the university (visit http://www.youtube.com/watch?v=h11N2NkmUQM&feature =youtu.be to view the video).

We wish Jadavpur University and the Sylff Association continued success in the program for many more years to come!

Read related Voices article on page 5.

## Many Rewards, Some Challenges in 20 Years at Athens

The Tokyo Foundation

he University of Athens celebrated the 20th anniversary of the Sylff program in Greece on May 20, 2013. The University became the forty-seventh member of the Sylff community in May 1993. Since then, the program has supported nearly 260 graduate students in the humanities and social sciences. The anniversary ceremony was held at the university's Great Hall of Ceremonies.



Ioannis Panagiotopoulos

The celebration opened with addresses by representatives of all parties involved in the Sylff program at Athens. They included Professor Theodosios Pelegrinis, rector of the university; Professor Theodore Liakakos, vice rector and chairman of the Sylff steering committee; Mr. Ioannis Panagiotopoulos, a Sylff alumni and secretary general of Mass Media of the Greek government; Mr. Yohei Sasakawa, chairman of the Nippon Foundation—donor of the Sylff

endowment—and Mr. Takashi Suzuki, director for leadership development of the Tokyo Foundation.

The university expressed its appreciation for the Sylff program and vowed to continue nurturing future leaders through the program over the next 20 years, just as it has in the preceding two decades. Mr. Sasakawa remarked on the qualities a leader should have, reflecting on his personal encounters with leprosy patients and his lifelong dedication since then to supporting them. Noting that we unconsciously tend to notice only those things what we choose to see and hear, he said that leaders must make a persistent effort to see and address hidden problems for global peace and well-being. His speech provided valuable insights for all in attendance.

Another thought-provoking speech was made by Professor Emeritus Demetrios B. Papoulias. Entitled "Leadership in Times of Crisis," the keynote speech outlined the leadership required to overcome the financial crisis, highlighting the importance

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of acting responsibly and remaining in touch with social realities.

Four fellows shared their experiences and views regarding the Sylff program:



Yohei Sasakawa

Dr. Manolis Patiniotis, professor in the Department of History and Philosophy of Science at the University of Athens; Mr. Loukas Spanos, a scientific advisor for the Greek Parliament, a representative of the Greek Sylff Fellows Association, and a member of the Sylff steering committee; Mr. Christopher Lees, a doctoral student in linguistics; and Ms. Alexandra Bousiou, a doctoral student in political sciences and public administration.

They commented that the Sylff program not only provided financial support for their academic study but also raised their awareness of the value of diversity, raised their motivation, and connected them to a global network of fellows in diverse disciplines and countries. By supporting students in the humanities, they noted, the program also supported the value of the humanities in contemporary

society, which often places a higher value on practical subjects. It was evident from the fellow's speeches that the aims of the Sylff program were being realized through their actions.

The Sylff program at Athens has not always been smooth over the past two decades. Recently, it was adversely affected by the Lehman crisis and the national debt crisis. However, despite these difficulties, a newly constituted Sylff steering committee



The 20th anniversary ceremony at the University of Athens .

has now managed to put the program back on track through new financial management measures and renewed procedures for program implementation. As a result, the program continues to support outstanding graduate students today. The 20th anniversary ceremony fully demonstrated that the program has a firm base on which to prosper and to develop future leaders for many years to come.





# A Community of **Future Leaders**

Meetings with Fellows in 2013













The Tokyo Foundation had the pleasure of meeting many outstanding fellows in 2013. Sylff gatherings were held in Tokyo, Sofia (Bulgaria), and Beijing, and several fellows who had attended renowned music universities visited the Foundation on the occasion of their recitals in Tokyo. Others brought news of their remarkable accomplishments, such as winning a contest on Japanese culture and history and publishing a book on the Marshall Islands that became part of a series on world history. Members of the Foundation met over a hundred fellows on visits to nearly 20 Sylff universities, two of which held anniversary events, as detailed in this booklet. We were also happy to receive many excellent, socially meaningful applications for SRA and SLI grants, and reports of a number of them are contained herein.









#### Sylff Support Programs

Support programs offered by the Tokyo Foundation aims to facilitate Sylff fellows' academic advancement, leadership development, and networking and consist of the following:

#### Sylff Research Abroad (SRA)

SRA awards support academic research related to fellows' doctoral dissertations conducted at institutions of higher learning, research institutes, think tanks, non-governmental organizations, or private companies in a foreign country. Current and graduated fellows who are enrolled in a PhD program may apply. Master's students are asked to consider applying after proceeding to PhD study. For details, see http://www.tokyofoundation.org/sylff/ support\_programs/sra.

#### Sylff Leadership Initiatives (SLI)

The SLI program supports Sylff fellows wishing to initiate a social action project or to organize a forum, conference, seminar, or workshop addressing social issues. Projects led by one or more Sylff fellows are eligible for an SLI award of up to US\$10,000. Non-fellows may also participate. For details, see http://www.tokyofoundation.org/sylff/support\_programs/sli.

#### Sylff Fellows Forum

Forums for dialogue among Sylff fellows around the world will be re-launched in fiscal 2015 in collaboration with Sylff institutions willing to plan, organize, and host such forums. Around 20 to 30 fellows from various Sylff institutions are expected to attend each forum. Themes to be explored will be relevant to the global situation, oriented toward promoting sustainable lifestyles and exploring fundamental issues confronting humankind. The Tokyo Foundation looks forward to participation by fellows from a variety of backgrounds.



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